



UNIVERSITY of CALIFORNIA, SAN DIEGO  
SCHOOL OF MEDICINE

# Computer Models for Medical Diagnosis and Prognostication

Lucila Ohno-Machado, MD, PhD  
Division of Biomedical Informatics

- Clinical pattern recognition and predictive models
- Evaluation of binary classifiers (calibration)
- Ethical implications for clinical practice

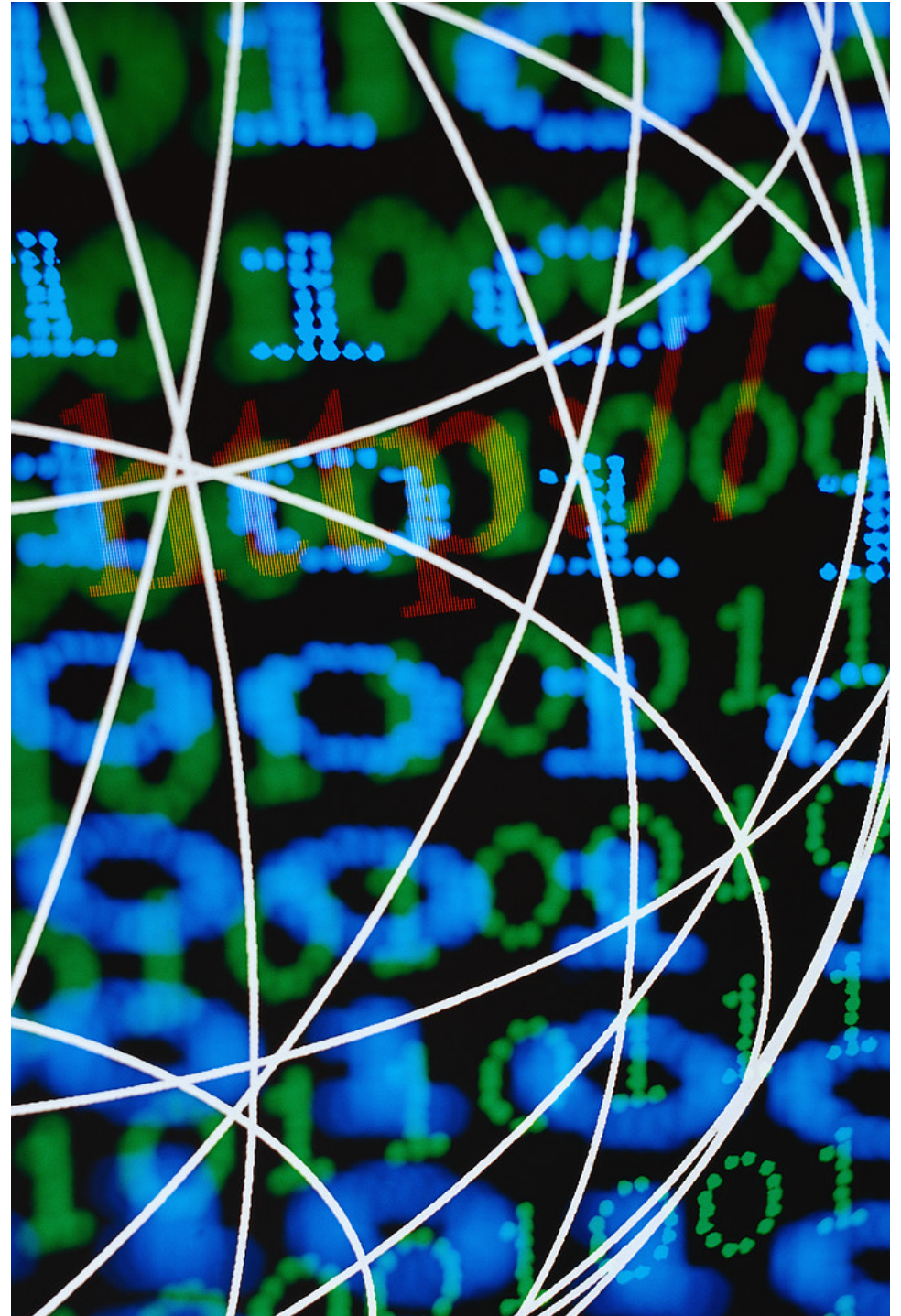


Source: DOE

# Computer- Based Models for Medical Diagnosis and Prognostication

Lucila Ohno-Machado, MD, PhD

Division of Biomedical Informatics  
University of California San Diego



# Risk Assessment

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- Popular risk calculators
  - Gail Model (Breast cancer)
  - Framingham Risk Calculator (CVD)
  - APACHE (ICU mortality)

The image shows a digital interface for a "Myocardial Infarction Risk Assessment Calculator". At the top, it displays "M.I. Probability:" with a visual bar chart showing a transition from green (Low Risk) to red (High Risk), and a numerical value of "68 %". Below this, the "Recommendation:" is "CALL 911 IMMEDIATELY". A note states "(Some chest pain is assumed to exist.)". The input section includes: "What is your age?" with a value of "55"; "What is your sex?" with radio buttons for "Male" and "Female"; "Do you smoke?" with radio buttons for "Yes" and "No"; "Previous angina?" with radio buttons for "Yes" and "No"; and "Previous M.I.?" with radio buttons for "Yes" and "No". To the right, under "Select all that apply:", there are checkboxes for "Pain in Left Arm", "Pleuritic", "Sharp Chest Pain", "Sweating", "Nausea", and "Episodic". At the bottom are "Calculate" and "Clear" buttons.

- Use of individual estimates
  - Prophylaxis for breast cancer
  - Cholesterol management guidelines
  - *Continuation of life support*





National Cancer Institute

U.S. National Institutes of Health | www.cancer.gov

## Breast Cancer Risk Assessment Tool

An Interactive Tool For Measuring the Risk  
of Invasive Breast Cancer



> **Risk Calculator**

About the Tool

Breast Cancer Risk

Mobile Access

Download Source Code

### Page Options

Print Page

Email Page

### Quick Links

[Breast Cancer Home Page](#)

[Breast Cancer: Prevention,  
Genetics, Causes](#)

[Initial Results of STAR  
Released](#)

The Breast Cancer Risk Assessment Tool is an interactive tool designed by scientists at the National Cancer Institute (NCI) and the [National Surgical Adjuvant Breast and Bowel Project \(NSABP\)](#) to estimate a woman's risk of developing [invasive breast cancer](#). The tool has been updated for African American women based on the Contraceptive and Reproductive Experiences (CARE) Study. See [About the Tool](#) for more information.

### Results (Breast Cancer Risk)

[New Risk Calculation](#)

*Reminder: The Breast Cancer Risk Assessment Tool was designed for use by health professionals. If you are not a health professional, you are encouraged to discuss these results and your personal risk of breast cancer with your doctor.*

#### Race/Ethnicity:

White

#### 5 Year Risk

- > This woman (age 42) 3.1%
- > Average woman (age 42): 0.7%

#### Explanation

Based on the information provided (see below), the woman's estimated risk for

## National Cancer Institute



1-800-4-CANCER

 $\gamma = 30$

10-year CVD Risk Calculator (Risk Assessment Tool for Estimating 10-year Risk of Developing Har - Windows Internet Ex...

http://hp2010.nhlbi.nih.net/atpiii/calculator.asp?usertype=prof apache risk calculator

Norton aha risk calculator Search Cards & Log-ins

10-year CVD Risk Calculator (Risk Assessment To...

**NATIONAL CHOLESTEROL EDUCATION PROGRAM**  
Third Report of the Expert Panel on  
Detection, Evaluation, and Treatment of High Blood Cholesterol in Adults (Adult Treatment Panel III)

### Risk Assessment Tool for Estimating 10-year Risk of Developing Hard CHD (Myocardial Infarction and Coronary Death)

The [risk assessment tool](#) below uses recent data from the Framingham Heart Study to estimate 10-year risk for "hard" coronary heart disease outcomes (myocardial infarction and coronary death). This tool is designed to estimate risk in adults aged 20 and older who do not have heart disease or diabetes. Use the calculator below to estimate 10-year risk.

Age:  years

Gender: ☐ Female ☐ Male

[Total Cholesterol:](#)  mg/dL

[HDL Cholesterol:](#)  mg/dL

[Smoker:](#) ☐ No ☐ Yes

[Systolic Blood Pressure:](#)  mm/Hg

Currently on any medication to treat high blood pressure. ☐ No ☐ Yes

Calculate 10-Year Risk


Internet | Protected Mode: Off 100%

10-Year Risk Calculator Results - Windows Internet Explorer

http://hp2010.nhlbi.nih.net/atpiii/evalData.asp nhlbi risk calculator

Norton nhlbi risk calculator Search Cards & Log-ins

10-Year Risk Calculator Results

 NATIONAL CHOLESTEROL EDUCATION PROGRAM  
Third Report of the Expert Panel on  
Detection, Evaluation, and Treatment of High Blood Cholesterol in Adults (Adult Treatment Panel III)

**Risk score results:**

Age:	20
Gender:	male
Total Cholesterol:	300 mg/dL
HDL Cholesterol:	50 mg/dL
Smoker:	Yes
Systolic Blood Pressure:	180 mm/Hg
On medication for HBP:	Yes
<b>Risk Score*</b>	<b>22%</b>

\* The risk score shown was derived on the basis of an equation. Other NCEP materials, such as ATP III print products, use a point-based system to calculate a risk score that approximates the equation-based one.

To interpret the risk score and for specific information about CHD risk assessment as part of detection, evaluation, and treatment of high blood cholesterol, see [ATP III Executive Summary](#) and [ATP III At-a-Glance](#).

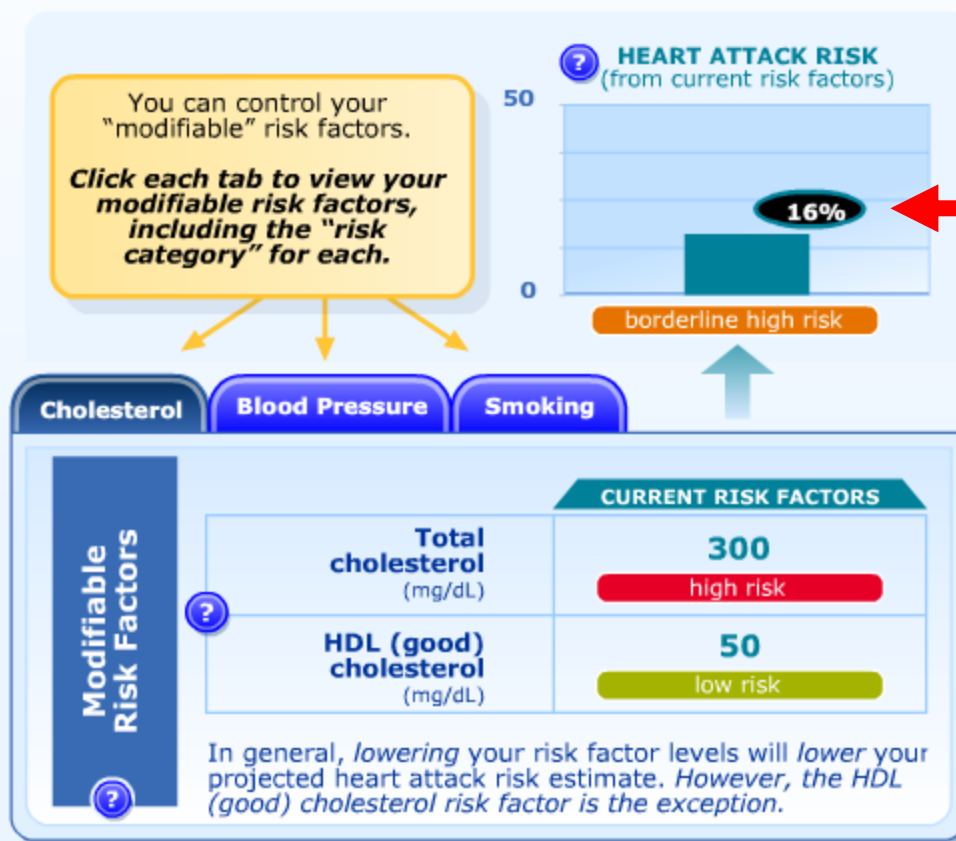
Internet | Protected Mode: Off 100%

## Heart Attack Risk Calculator - Beta

## Results

Follow the directions below. Click the "?" buttons for more information.

## Your 10-Year Heart Attack Risk Estimate

[Register](#) | [Log In](#)

The graph shows your estimated risk of having a heart attack or dying of heart disease within the next 10 years.

Your risk is determined by your current risk factors, like age and smoking.

**16%**

You can control some risk factors through lifestyle changes or medications.

These risk factors are called "modifiable" risk factors.



This tool uses a [scoring system](#) from the Framingham Heart Study to assess your risk for heart attack or dying of coronary heart disease in the next 10 years. It is important to discuss your situation with your doctor.

[Exit](#) | [Informational Library](#) | [My History](#)[Previous](#)

7 / 10

[Next](#)



# APACHE II

- Mortality in intensive care units (ICUs)
- 12 physiologic predictors

**APACHE II Calculator**

Age	GCS
Temp	Eyes Open
Systolic BP	Verbal
Diastolic BP	Motor
Heart Rate	
Resp Rate	
pH	
Sodium	
Potassium	
Creatinine	ARF
HCT	
WBC	
FI02 %	
PO2	
PCO2	
Barometric Press 760	

☐ Chronic disease +/- emergency surgery  
☐ Chronic disease + elective surgery

**APACHE II**

**Mortality Risk**

Apache II - Windows Internet Explorer

http://www.sfar.org/scores2/apache22.html

apache risk calculator

Apache II

Temperature (°C)	Mean Arterial Pressure (mmHg)	Heart Rate
0	0	0
Respiratory Rate	If FIO2 >= 0,5 : (A-a) O2 (Help)	If FIO2 < 0,5 : PaO2
0	0	0
If no A.B.Gs : Serum HCO3 <sup>-</sup> (mmol/L)	Arterial pH	Serum Sodium (mmol/L)
0	0	0
Serum Potassium (mmol/L)	Serum Creatinine With Acute Renal Failure	Serum Creatinine Without Acute Renal Failure
0	0	0
Ht (%)	W.B.C (x10 <sup>3</sup> / mm <sup>3</sup> )	Glasgow Coma Score (Help)
0	0	0
Age	Apache II	Chronic Organ Insufficiency (Help) immuno-compromised
	0	

Done

Internet | Protected Mode: Off

100%



About Illumina

Personal Genomics 101

Getting Started

Resources

Home

### Getting Started

Receiving a Genome Service

What Can I Learn?

Getting a Genotyping Service

Getting a Genome Sequence

Our Process

Process FAQs

Find a Doctor

Contact Us



## Getting a personal genome sequencing service: FAQs.

Click topics below to expand or [get all the answers](#).

### How will I receive my personal genome sequence?

A computer loaded with your genome sequence data and a visual display program will be sent to your doctor, who will deliver it to you and go over the results with you. This program will allow you to view the sequence and to see the positions of important features, including genes, single nucleotide polymorphisms (SNPs) and other sources of genetic variation.

### How often should I review my personal genome sequence data with my doctor to take account of ongoing scientific discoveries and medical recommendations?

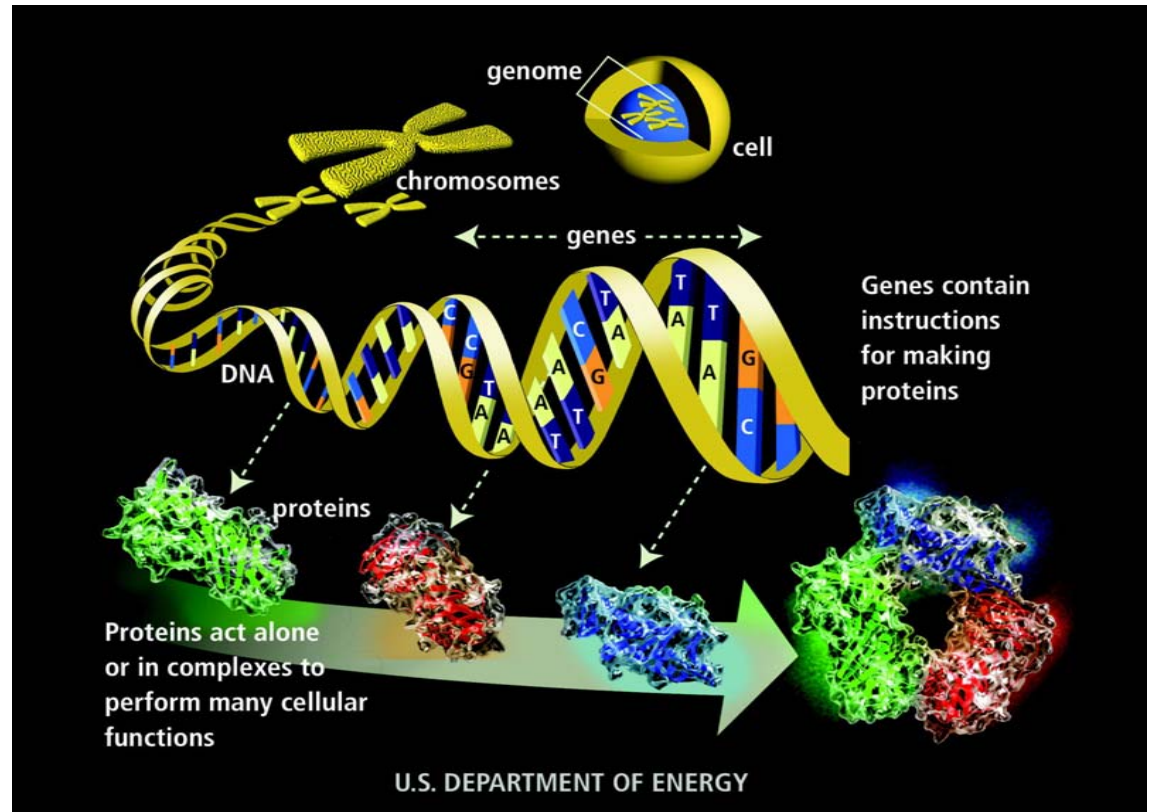
Plans for periodic review of personal genome sequence data should be discussed with your doctor as part of your consultation once the data are delivered. You may want to schedule an annual review as part of your overall care plan.

### How will Illumina protect my privacy?

When you submit a sample, your sample will be labeled with a random ID number. Your de-identified sample will be sent to the Illumina laboratory generating your personal genome sequence information, and your signed consent form will be sent separately to specially designated individuals at Illumina who are carefully screened off from the rest of the organization and subject to the strictest of confidentiality obligations. These individuals will have access only to certain personal information (such as your name, address, and account number), but will not have access to your sample and personal genome sequence. Similarly, the Illumina laboratory generating your personal genome sequence information will not have

# Individualized Genome

- How many individual genotypes are needed to predict disease?

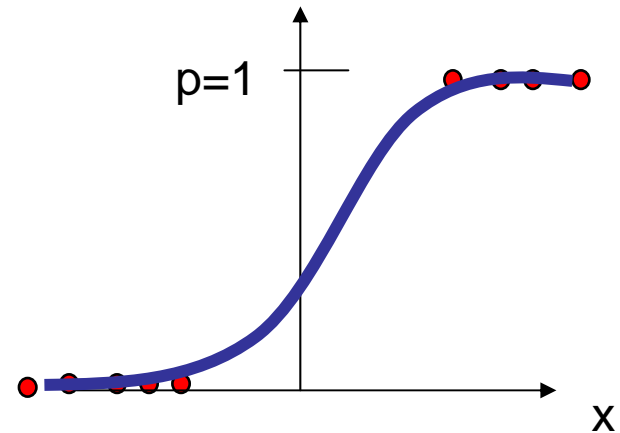


# Logistic Regression

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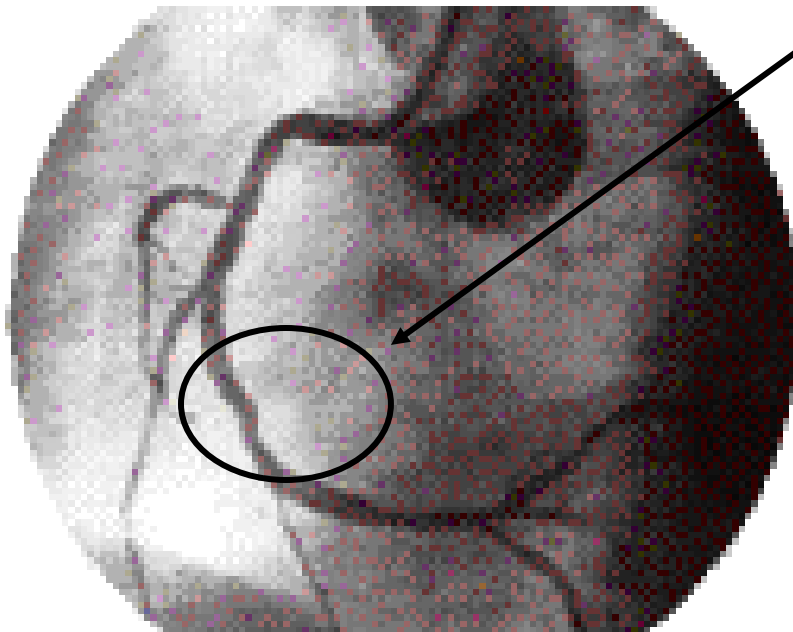
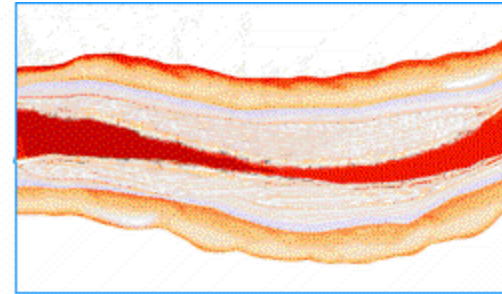
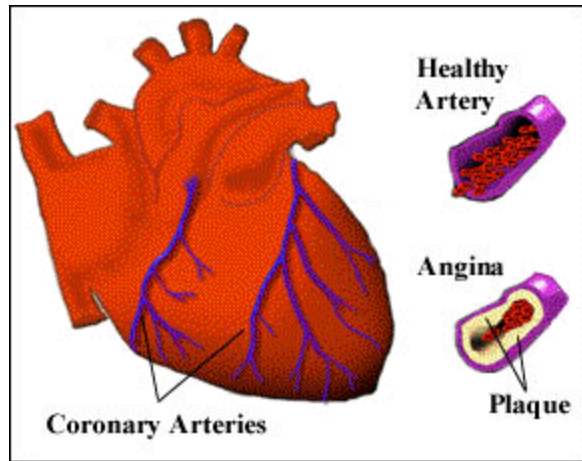
$$p_i = \frac{1}{1 + e^{-(\beta_0 + \beta_i x_i)}}$$

$$\log\left[\frac{p_i}{1 - p_i}\right] = \beta_0 + \beta_i x_i$$





# Coronary Angioplasty and Stenting



# Risk of death in angioplasty

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National average of deaths after angioplasty is 2%, which is stated in the informed consent.

*"Informed consent and good clinical practice require a discussion of risks and benefits..."*

Alexander et al, 52th ACC meeting

**Less than 10%** of the patients have an estimated risk of death around 2%.

Are we lying to the other 90%?



# Dataset: Attributes Collected

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History	Presentation	Angiographic	Procedural	Operator/Lab
age	acute MI	occluded	number lesions	annual volume
gender	primary	lesion type	multivessel	device experience
diabetes	rescue	(A,B1,B2,C)	number stents	daily volume
iddm	CHF class	graft lesion	stent types (8)	lab device
history CABG	angina class	vessel treated	closure device	experience
baseline	cardiogenic	ostial	gp 2b3a	unscheduled case
creatinine	shock		antagonists	
CRI	failed CABG		dissection post	
ESRD			rotablator	
hyperlipidemia			atherectomy	
			angiojet	
			max pre stenosis	
			max post stenosis	
			no reflow	

Data Source:  
 Medical Record  
 Clinician Derived  
 Other

Resnic et al, J Am Col Card 2001; Matheny et al, J Biomed Inf 2005

# Study Population: Descriptive Statistics

	Development Set	Validation Set	
Cases	2,804	1,460	
Women	909 (32.4%)	433 (29.7%)	p=.066
Age > 74yrs	595 (21.2%)	308 (22.5%)	p=.340
Acute MI	250 (8.9%)	144 (9.9%)	p=.311
Primary	156 (5.6%)	95 (6.5%)	p=.214
Shock	62 (2.2%)	20 (1.4%)	p=.058
Class 3/4 CHF	176 (6.3%)	80 (5.5%)	p=.298
gp IIb/IIIa antagonist	1,005 (35.8%)	777 (53.2%)	p<.001

Death	67 (2.4%)	24 (1.6%)	p=.110
Death, MI, CABG (MACE)	177 (6.3%)	96 (6.6%)	p=.739



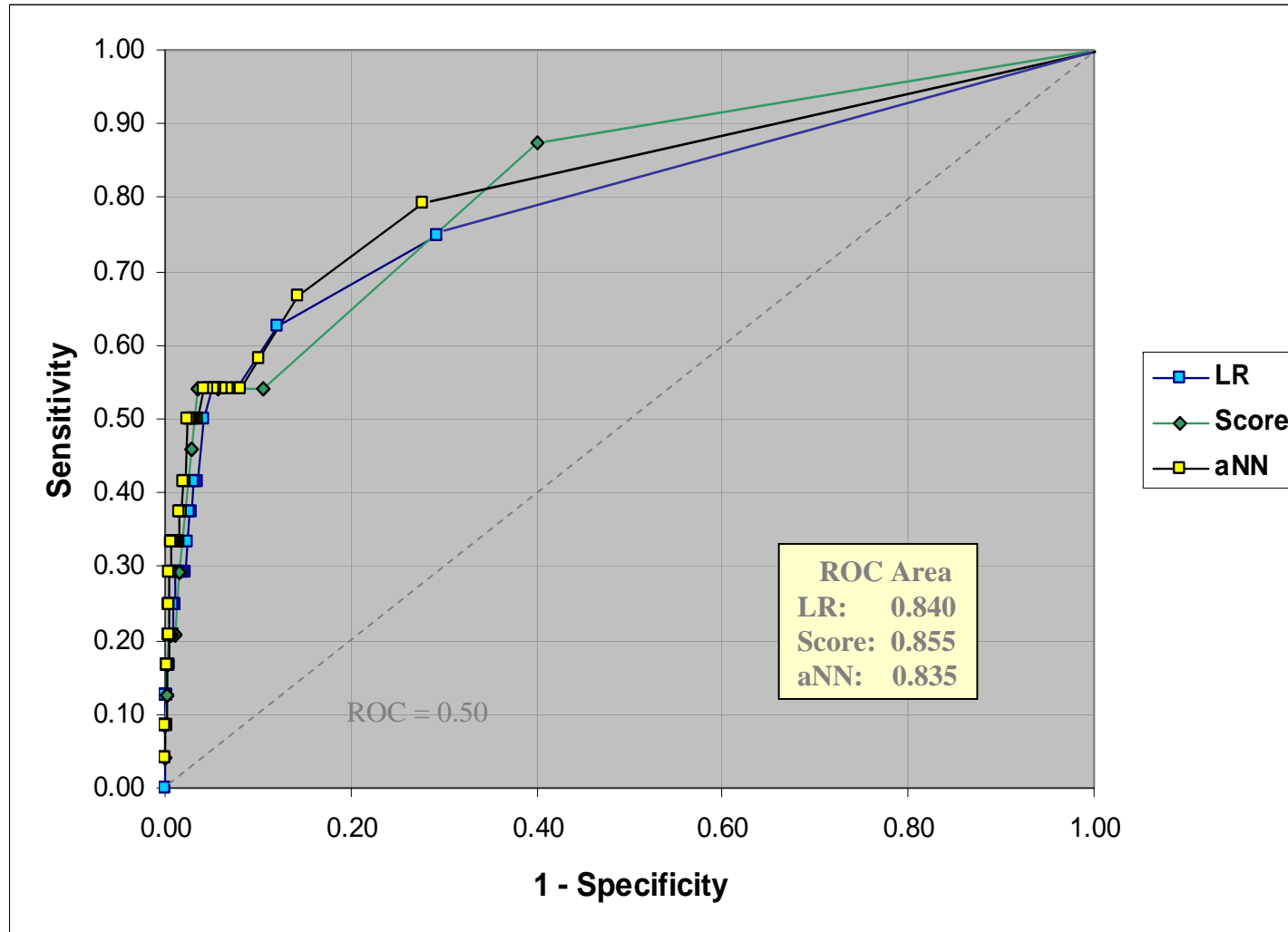
# Multivariate Models

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	Logistic Regression Model		Prognostic Risk Score Model		Artificial Neural Network
	Odds Ratio	p-value	beta coefficient	Risk Value	
Age > 74yrs	2.51	0.02	0.921	2	
B2/C Lesion	2.12	0.05	0.752	1	
Acute MI	2.06	0.13	0.724	1	
Class 3/4 CHF	8.41	0.00	2.129	4	
Left main PCI	5.93	0.03	1.779	3	
IIb/IIIa Use	0.57	0.20	-0.554	-1	
Stent Use	0.53	0.12	-0.626	-1	
Cardiogenic Shock	7.53	0.00	2.019	4	
Unstable Angina	1.70	0.17	0.531	1	
Tachycardic	2.78	0.04	1.022	2	
Chronic Renal Insuf.	2.58	0.06	0.948	2	

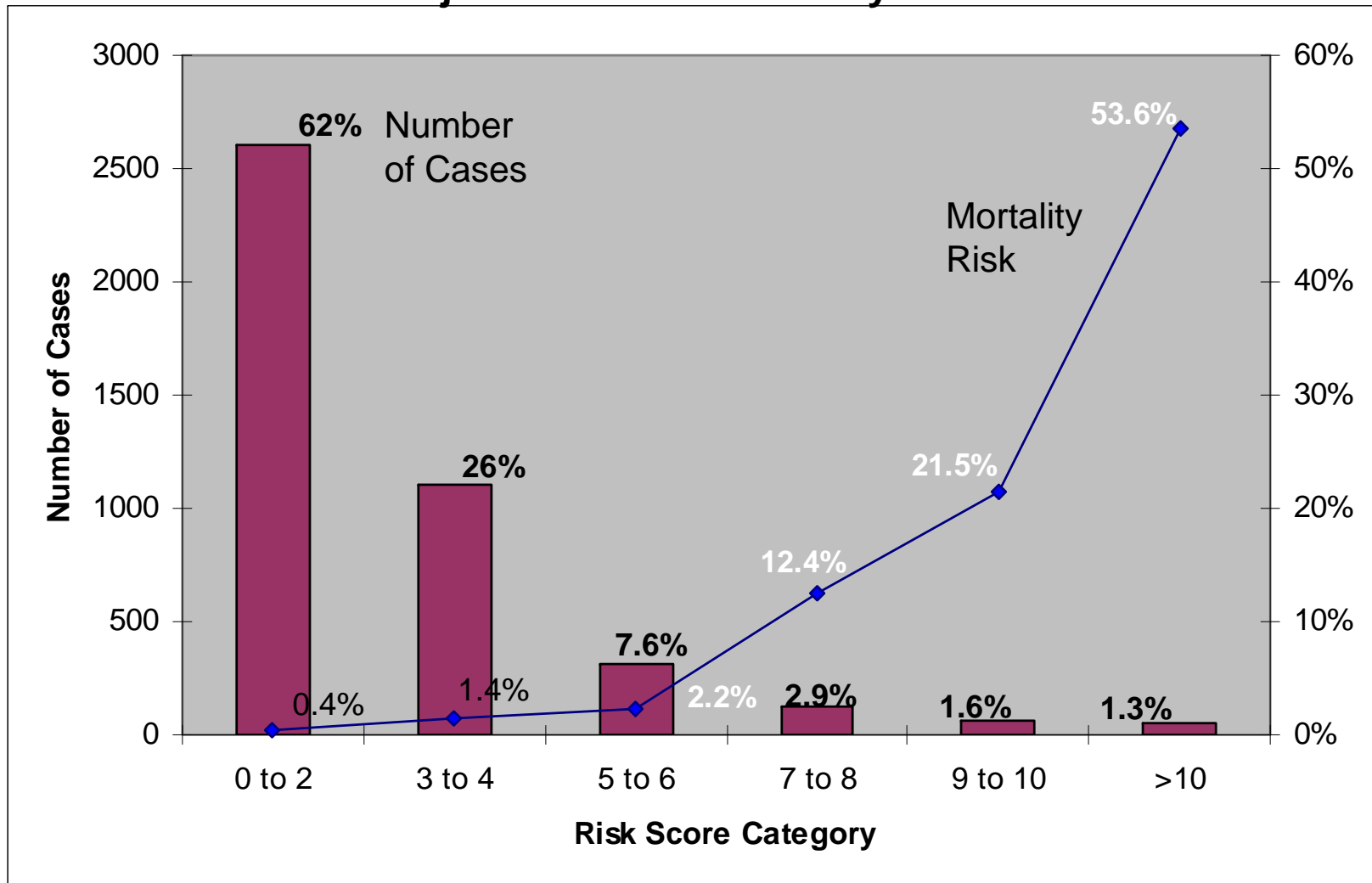
# Logistic Regression, Score, and Neural Networks

Validation Set: 1460 Cases



# Risk Score of Death

Unadjusted Overall Mortality Rate = 2.1%




10-year CVD Risk Calculator (Risk Assessment Tool for Estimating 10-year Risk of Developing Har - Windows Internet Ex...

http://hp2010.nhlbi.nih.net/atp/iii/calculator.asp?usertype=prof apache risk calculator

Norton aha risk calculator Search Cards & Log-ins

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Age:  years

Gender: ☐ Female ☐ Male

[Total Cholesterol:](#)  mg/dL

[HDL Cholesterol:](#)  mg/dL

[Smoker:](#) ☐ No ☐ Yes

[Systolic Blood Pressure:](#)  mm/Hg

Currently on any medication to treat high blood pressure. ☐ No ☐ Yes

Internet | Protected Mode: Off 100%

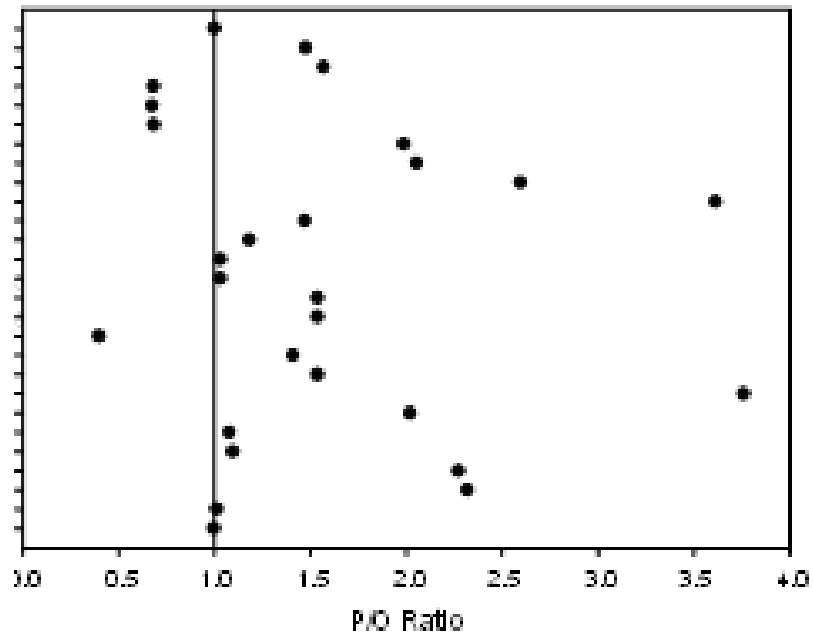


# External Validations for CVD Models

Model/Cohort	AKA	Year Published	External Validations
Framingham Risk Score	FRS	Dawber et al, 1951 <sup>8</sup>	1
Framingham Risk Score	FRS	Kannel et al, 1976 <sup>9</sup>	4
Framingham Risk Score	FRS	Anderson et al, 1991 <sup>2</sup>	29
Glostrup	Glostrup	Schroll et al, 1992 <sup>10</sup>	1
European Society of Cardiology	ESC	Pyorala et al, 1994 <sup>11</sup>	1
Framingham Risk Score	FRS	Wilson et al, 1998 <sup>12</sup>	32
Framingham Risk Score for ATP III	FRS ATP III	ATP III, 2001 <sup>13</sup>	5
Framingham Risk Score	FRS	D'Agostino et al, 2001 <sup>14</sup>	9
UK Prospective Diabetes Study	UKPDS	Stevens et al, 2001 <sup>15</sup>	1
Framingham Point System	FPS	ATP III, 2002 <sup>1</sup>	2
Prospective Cardiovascular Munster Study	PROCAM	Assman et al, 2002 <sup>16</sup>	6
Finnish Diabetes Risk Score	FINDRISC	Lindstrom et al, 2003 <sup>17</sup>	6
Systematic Coronary Risk Evaluation	SCORE	Conroy et al, 2003 <sup>18</sup>	8
Diabetes Epidemiology: Collaborative Analysis of Diagnostic Criteria in Europe	DECODE	Balkau et al, 2004 <sup>19</sup>	1
ASSessing cardiovascular risk using SIGN guidelines	ASSIGN	SIGN, 2007 <sup>20</sup>	2
		Total	108

# Predicted / Observed

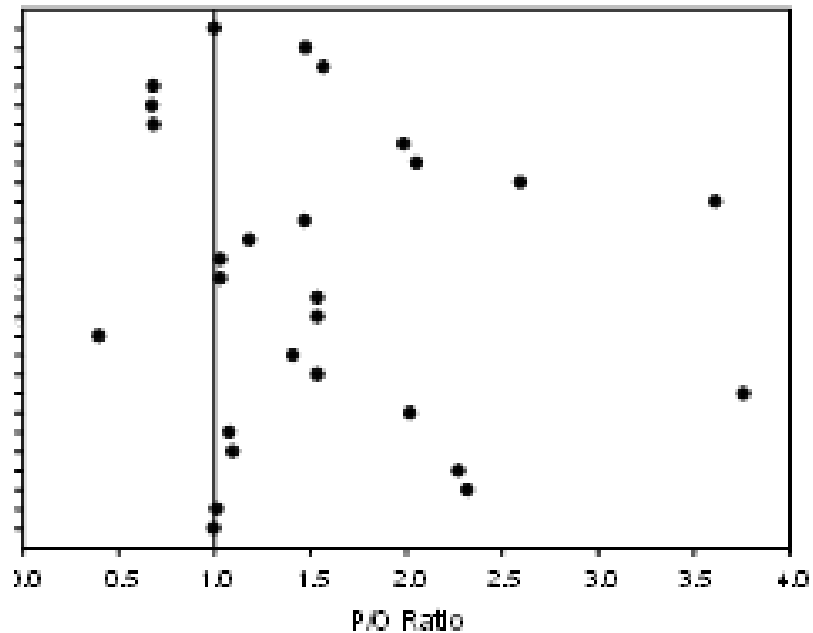
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Framingham models tested on  
European populations

# Predicted / Observed

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Framingham models tested on  
European populations



European models tested on North  
American populations

# Questions

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- Which model is right?



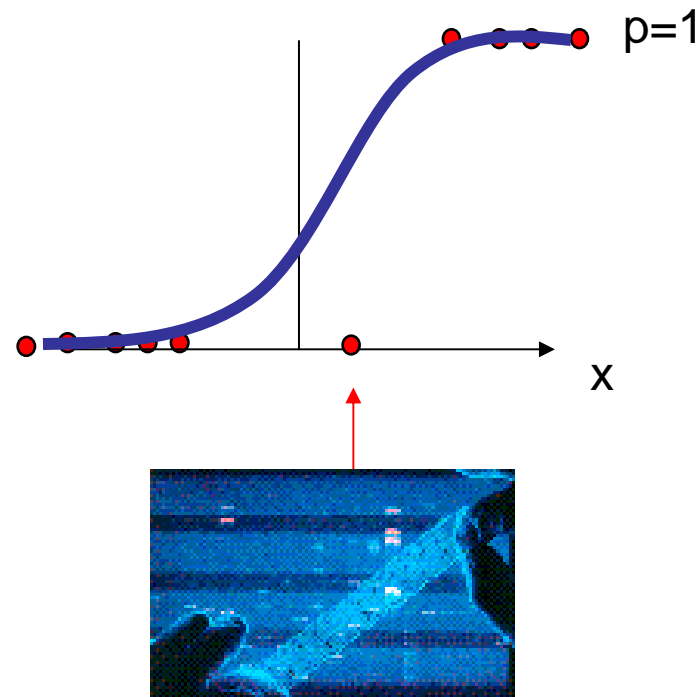
- “True” probability would be the gold-standard
  - What is the true probability?
- Are the models adequate in discrimination and calibration?



# Your Risk

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“this program shows the estimated health risks of people with your *same* age, gender, and risk factor levels”



http://www.americanheart.org/presenter.jhtml?identifier=3003900&\_requestid=11027069 - Microsoft Inter...

File Edit View Favorites Tools Help

Back Forward Stop Reload Home Search Favorites RSS Mail Print PDF AutoLink Settings

Address http://www.americanheart.org/presenter.jhtml?identifier=3003900&\_requestid=11027069 Go

Google aha framingham calculat Go Bookmarks 23 blocked Check AutoLink Settings

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October 17, 2006

American Heart Association®  
Learn and Live™

Heart Attack/Stroke Warning Signs

American Stroke Association

Diseases & Conditions

Children's Health

CPR & ECC

En español

Healthy Lifestyle

Advocacy: You're the Cure

Fund Raising

Publications & Resources

Heart & Stroke Encyclopedia

### RISK ASSESSMENT

Information about your

Gender:	
Age:	
Total Cholesterol:	
HDL Cholesterol:	
Systolic Blood Pressure:	180 mm Hg
Medication Treatment for High Blood Pressure:	Yes
Current Smoker:	Yes

**\*Risk Score:** Your estimated risk level is 5%.

This means that 5 of 100 people with this level of risk will have a heart attack or die from coronary heart disease (CHD) in the next 10 years.

Your risk for coronary heart disease increases substantially over your lifetime if you do not control or manage your risk factors. To find additional information about risk factors for heart attack and stroke go to the Association's [Heart Attack Risk Factors](#) and [Stroke Risk Factors](#).

\*The risk score shown is derived on the basis of an equation.

Done Internet

“this means that 5 of 100 people with this level of risk will have a heart attack or die”

# Patients “like you”

---

Input space

“people with your  
*same* age,  
gender, and  
risk factor  
levels”

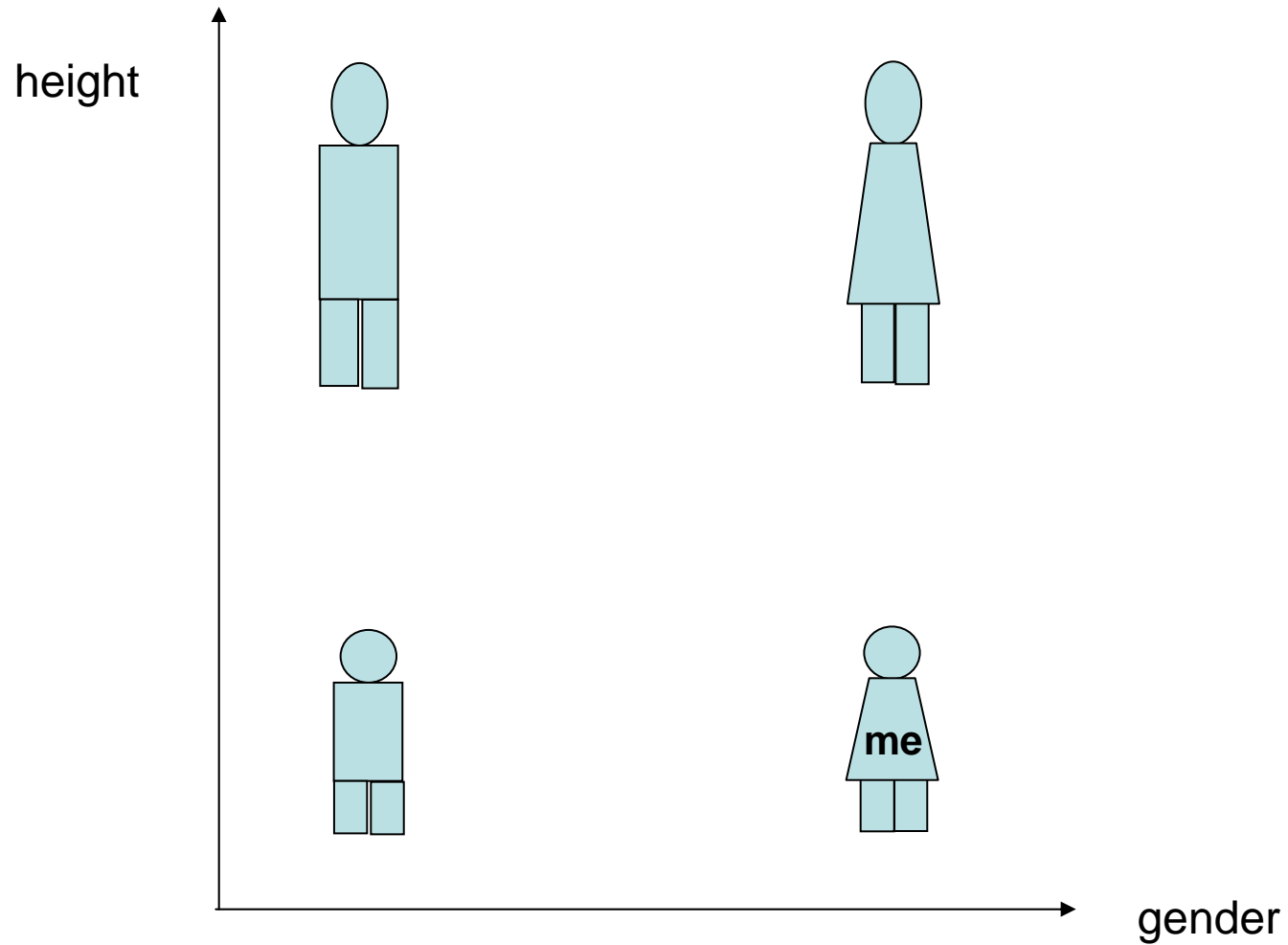


Output space

“people with this  
level of risk”

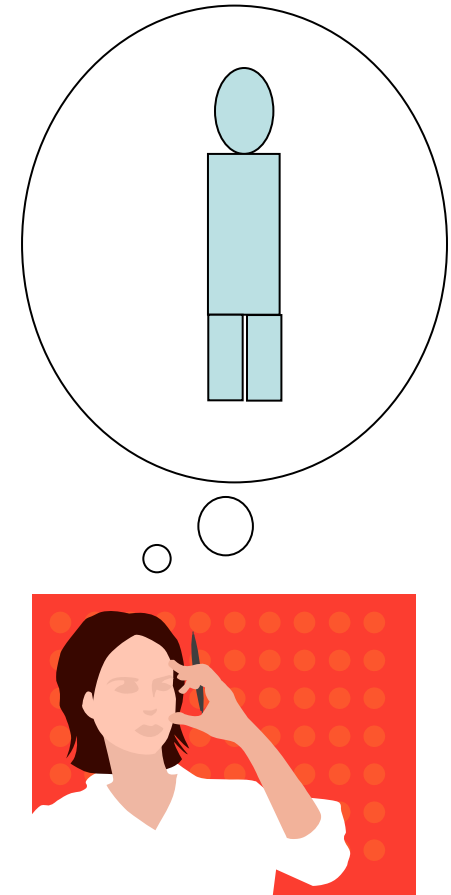
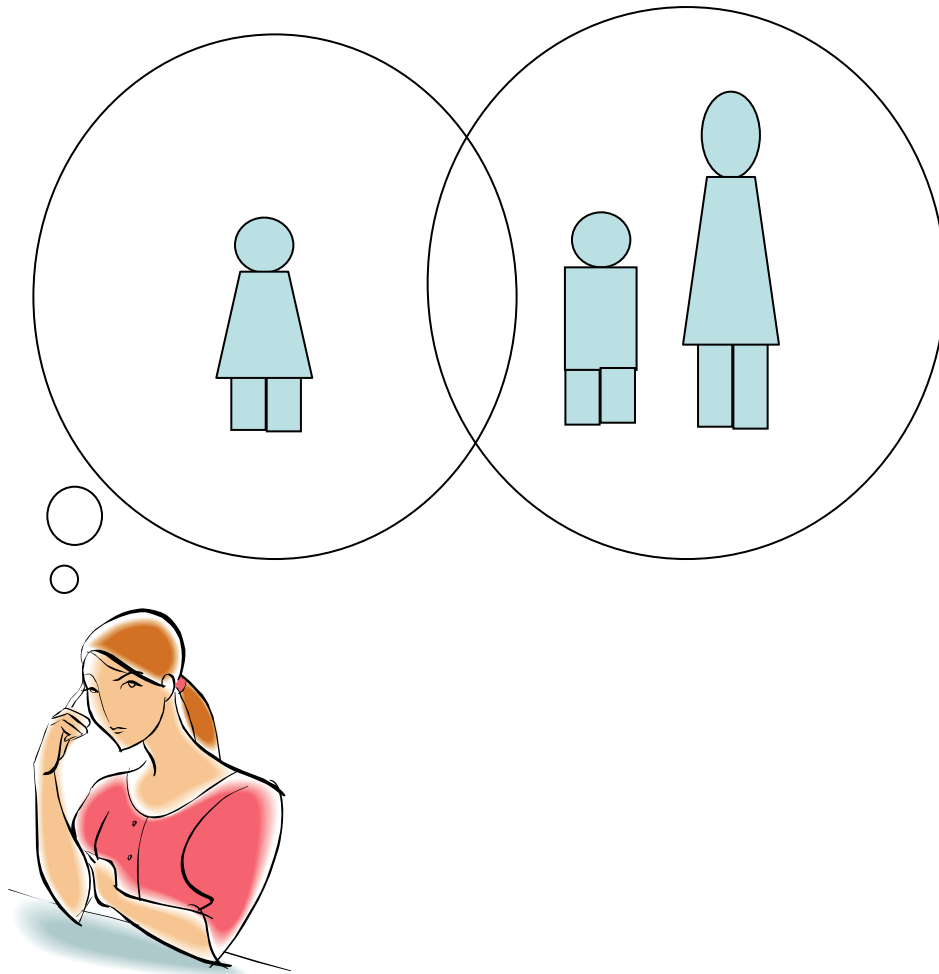
# Patients “like you”

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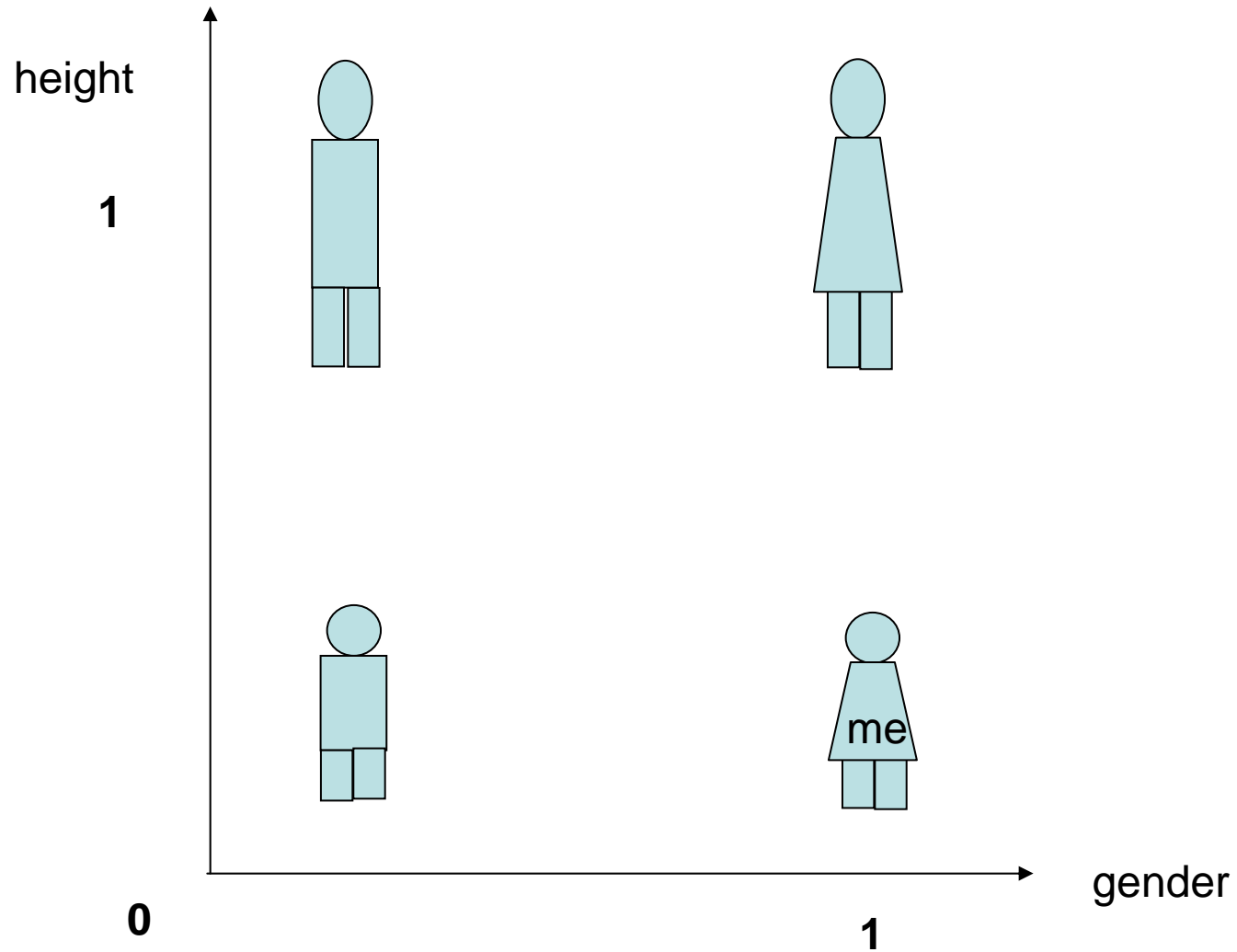
# Patients “like you”

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# Patients “like you”

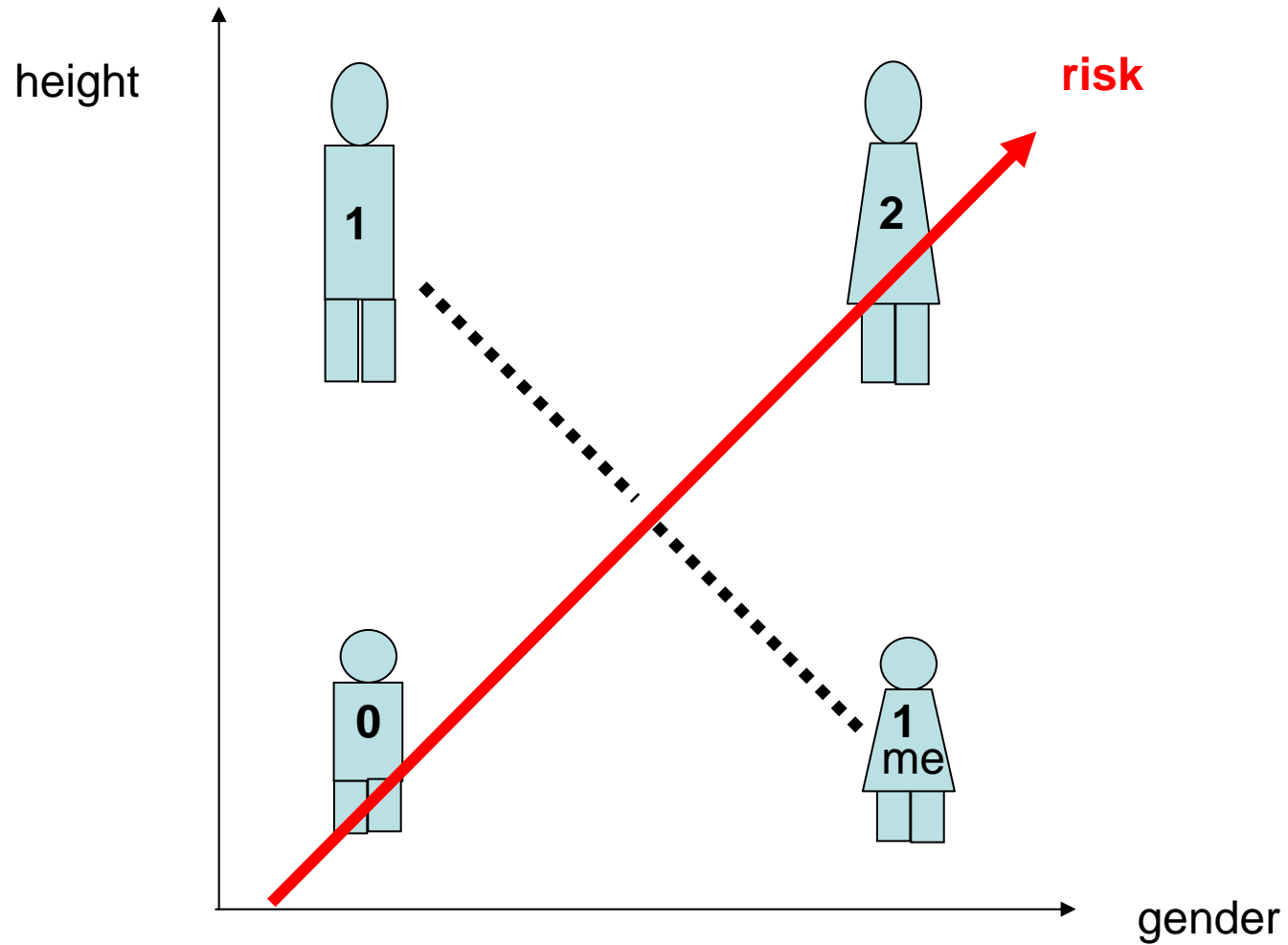
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# Patients “like you”

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# Evaluation of Predictive Models

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- Error
- Discrimination
  - Area under ROC
- Calibration
  - Plot of groups: observed vs expected
  - Hosmer-Lemeshow statistic

# Discrimination of Binary Outcomes

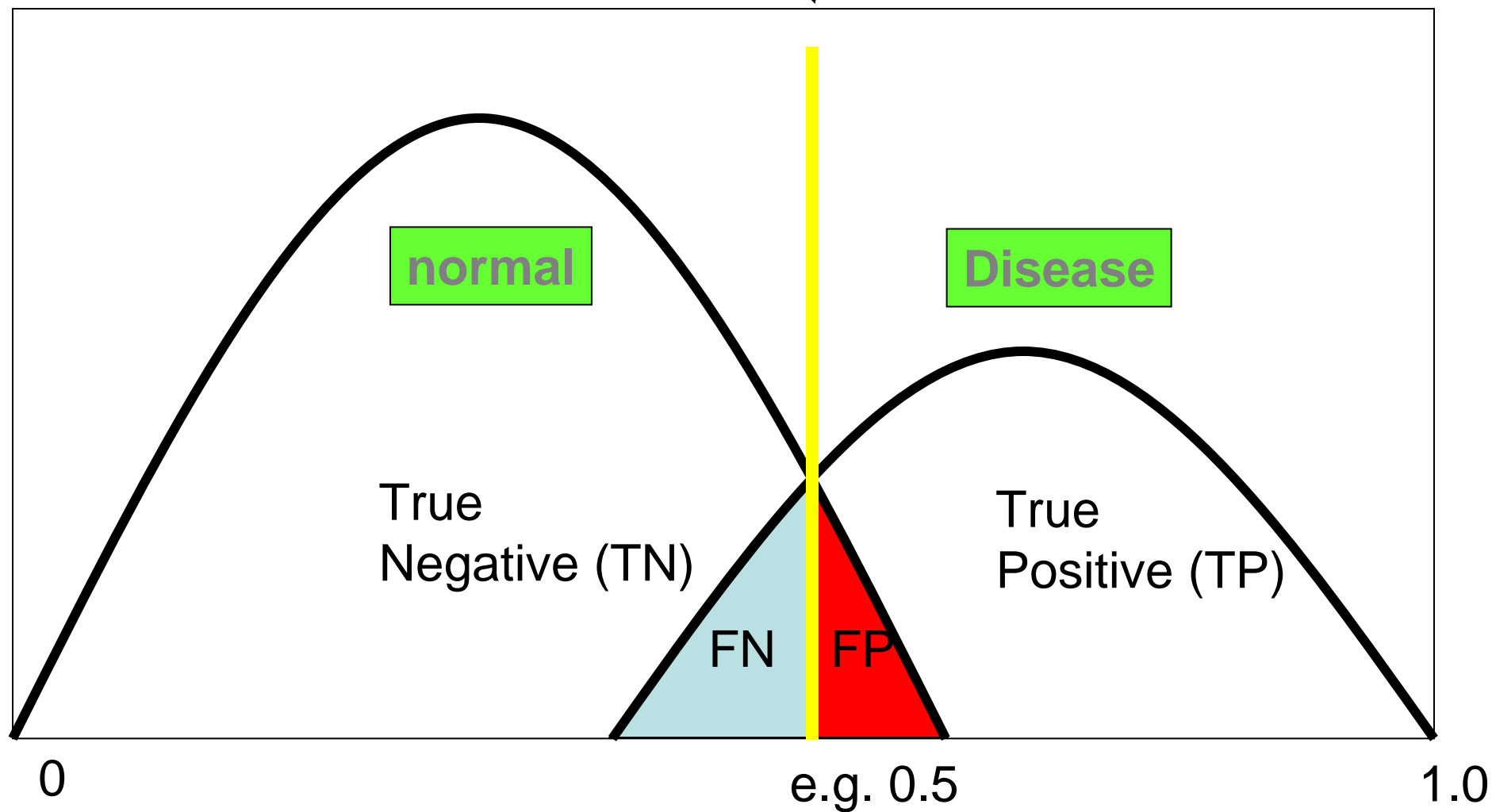
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- **Estimate** and **Observed** outcome (“gold standard”, “true”)

Estimate	True
0.3	0
0.2	0
0.5	1
0.1	0

- Classification into category 0 or 1 is based on thresholded estimates (e.g., if estimate  $> 0.5$  then consider “positive”)

threshold



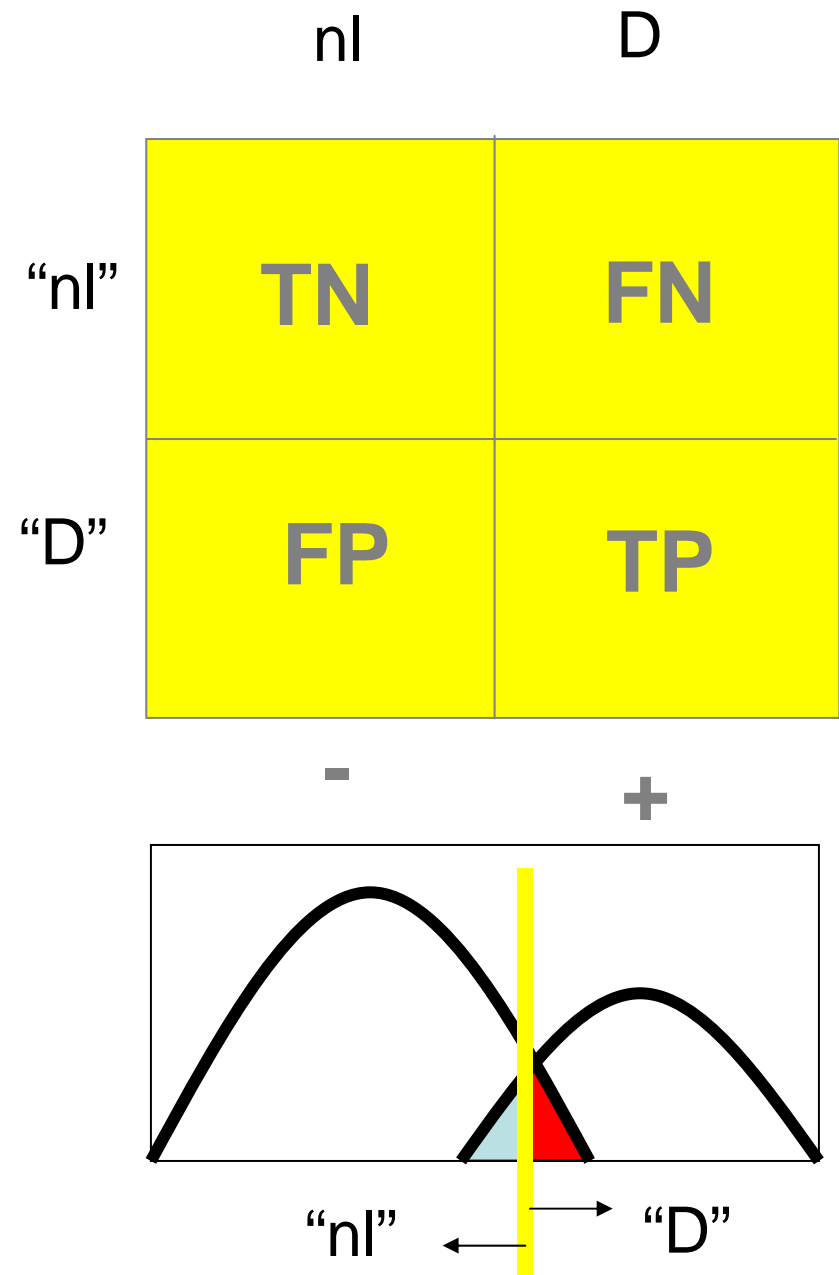
$$\text{Sens} = \text{TP} / \text{TP} + \text{FN}$$

$$\text{Spec} = \text{TN} / \text{TN} + \text{FP}$$

$$\text{PPV} = \text{TP} / \text{TP} + \text{FP}$$

$$\text{NPV} = \text{TN} / \text{TN} + \text{FN}$$

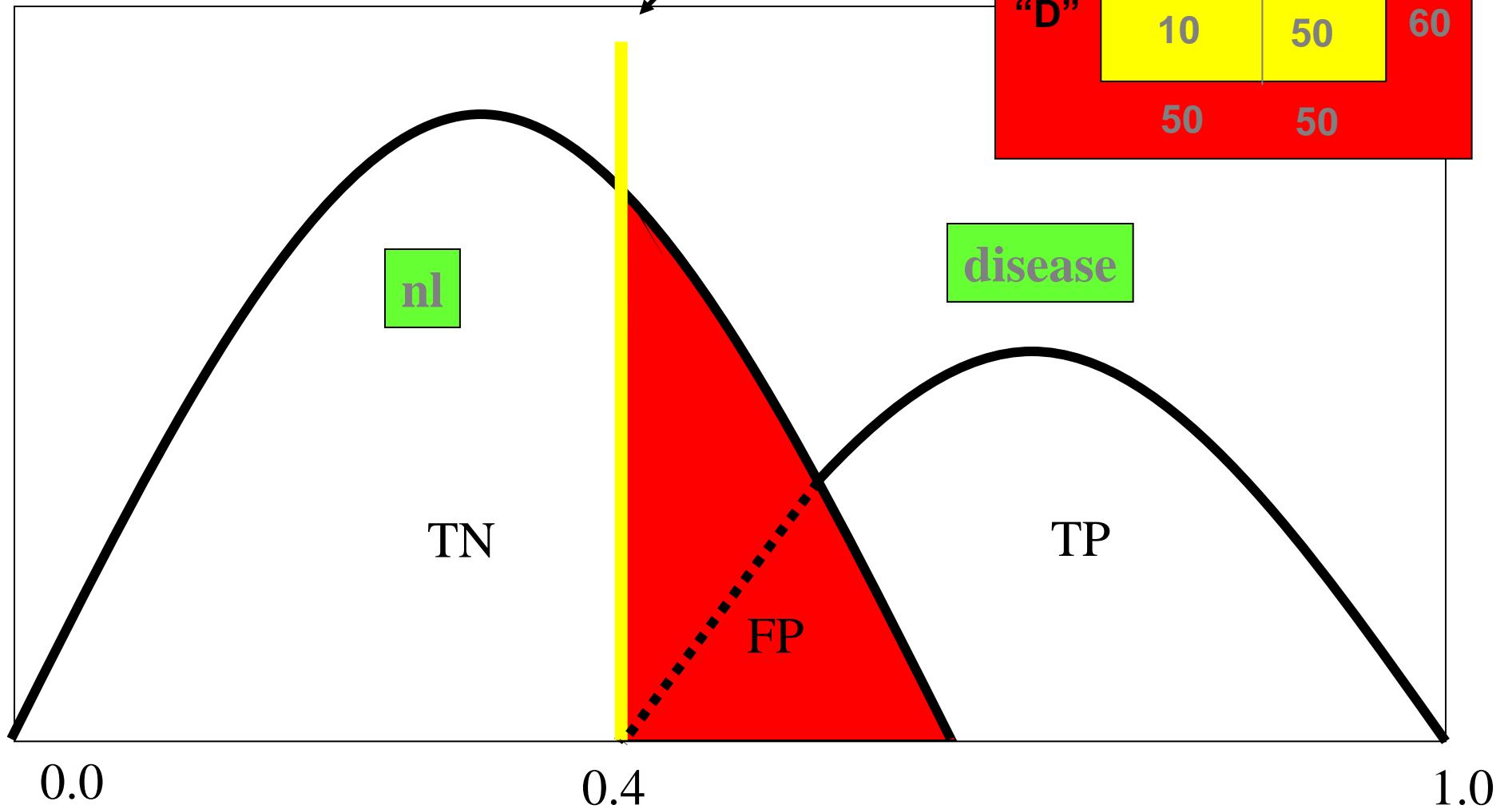
$$\text{Accuracy} = \text{TN} + \text{TP}$$



Sensitivity =  $50/50 = 1$   
Specificity =  $40/50 = 0.8$

threshold

	nl	D	
“nl”	40	0	40
“D”	10	50	60
	50	50	



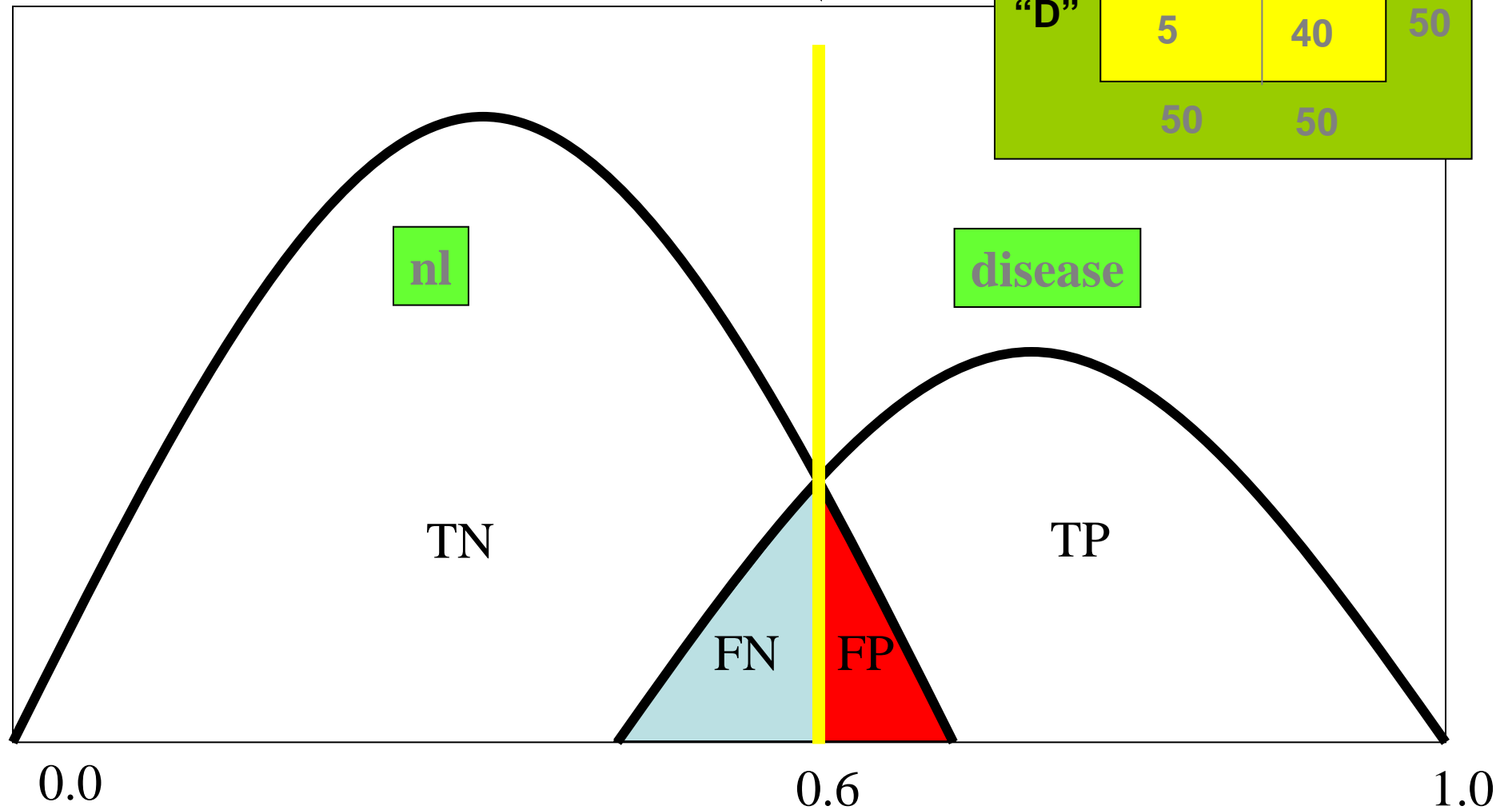


$$\text{Sensitivity} = 40/50 = .8$$

$$\text{Specificity} = 45/50 = .9$$

threshold

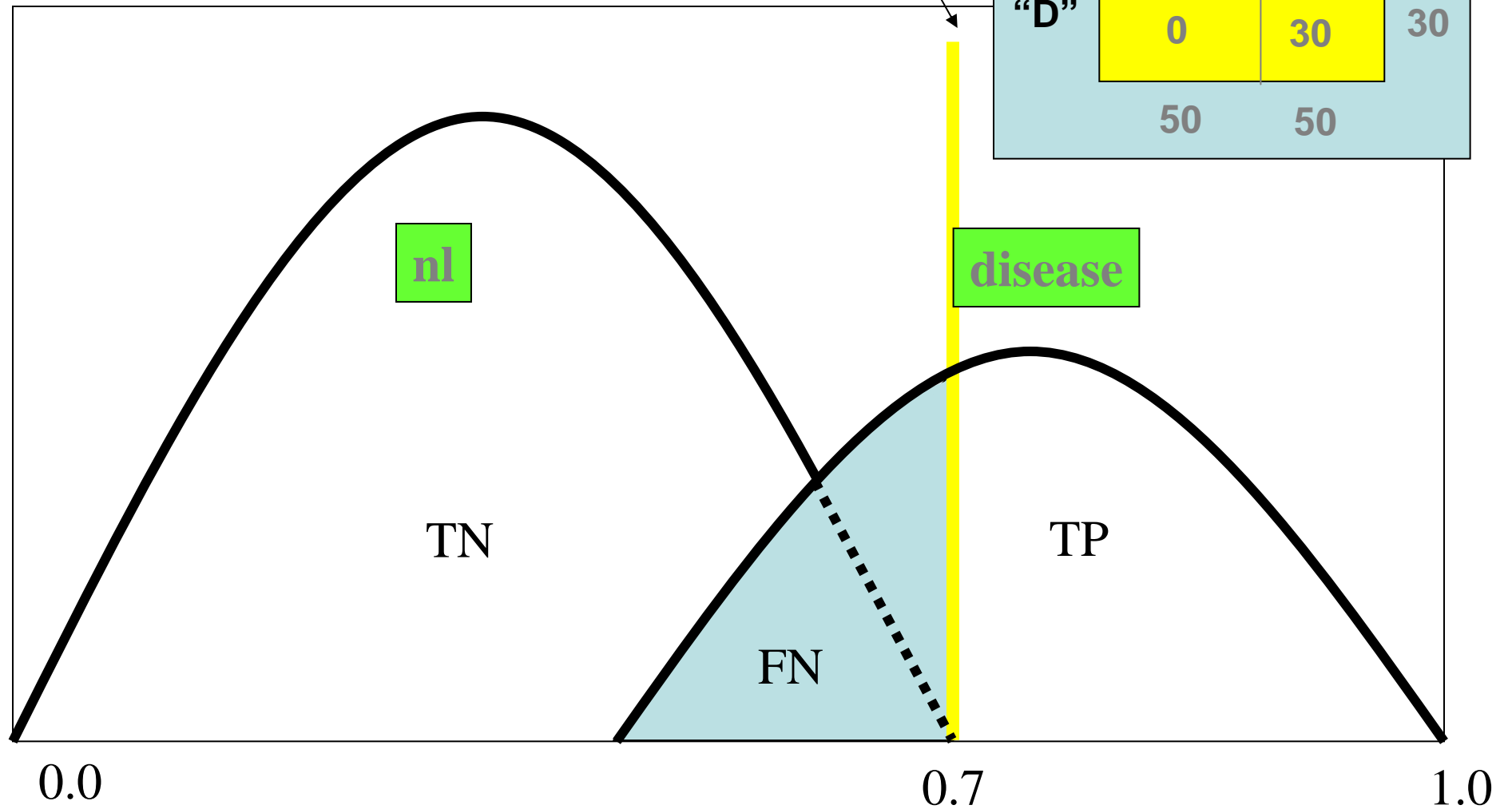
	nl	D	
"nl"	45	10	50
"D"	5	40	50
	50	50	



Sensitivity =  $30/50 = .6$   
Specificity = 1

threshold

	nl	D	
"nl"	50	20	70
"D"	0	30	30
	50	50	



Threshold 0.4

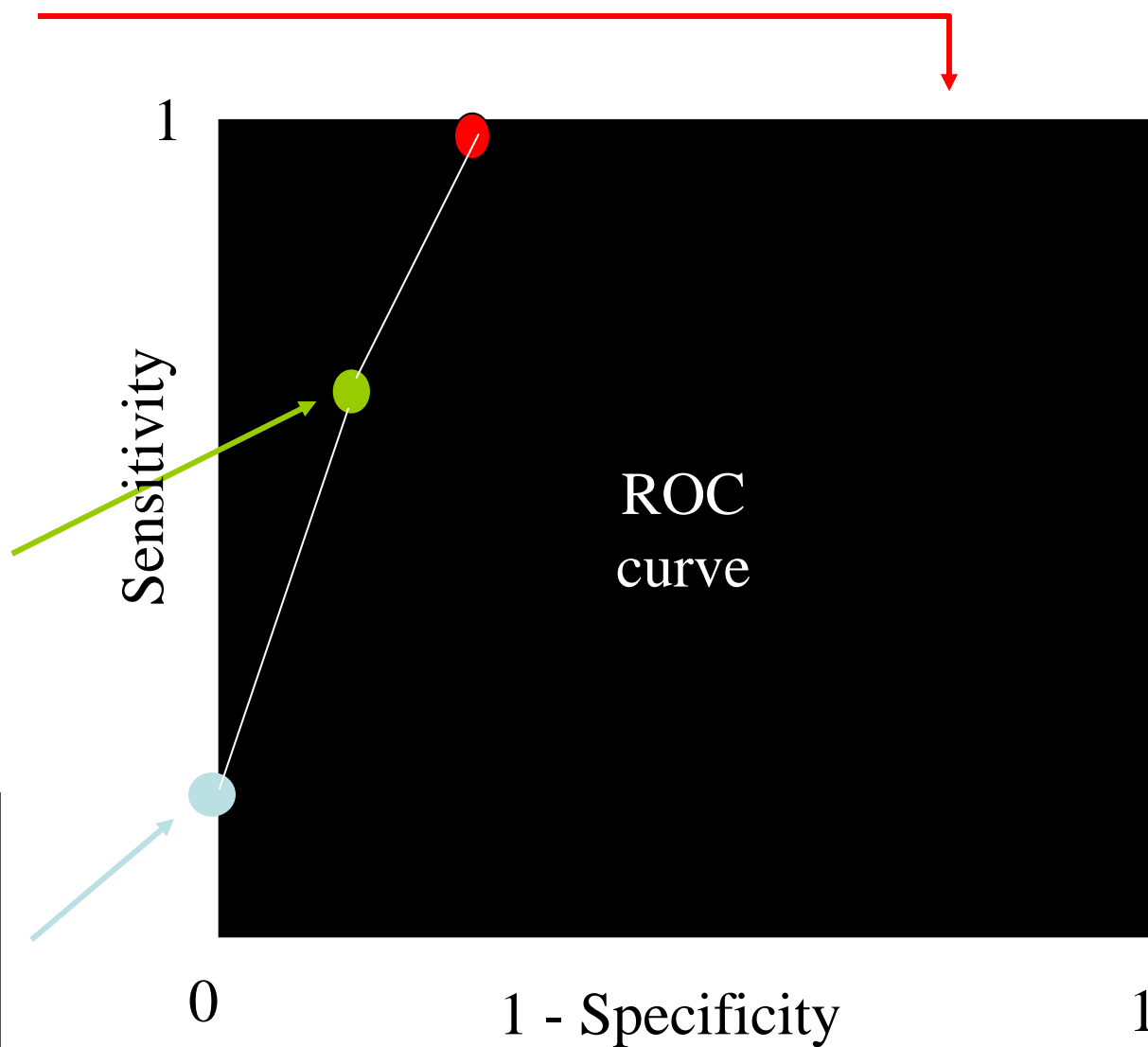
	nl	D	
"nl"	40	0	40
"D"	10	50	60
	50	50	

Threshold 0.6

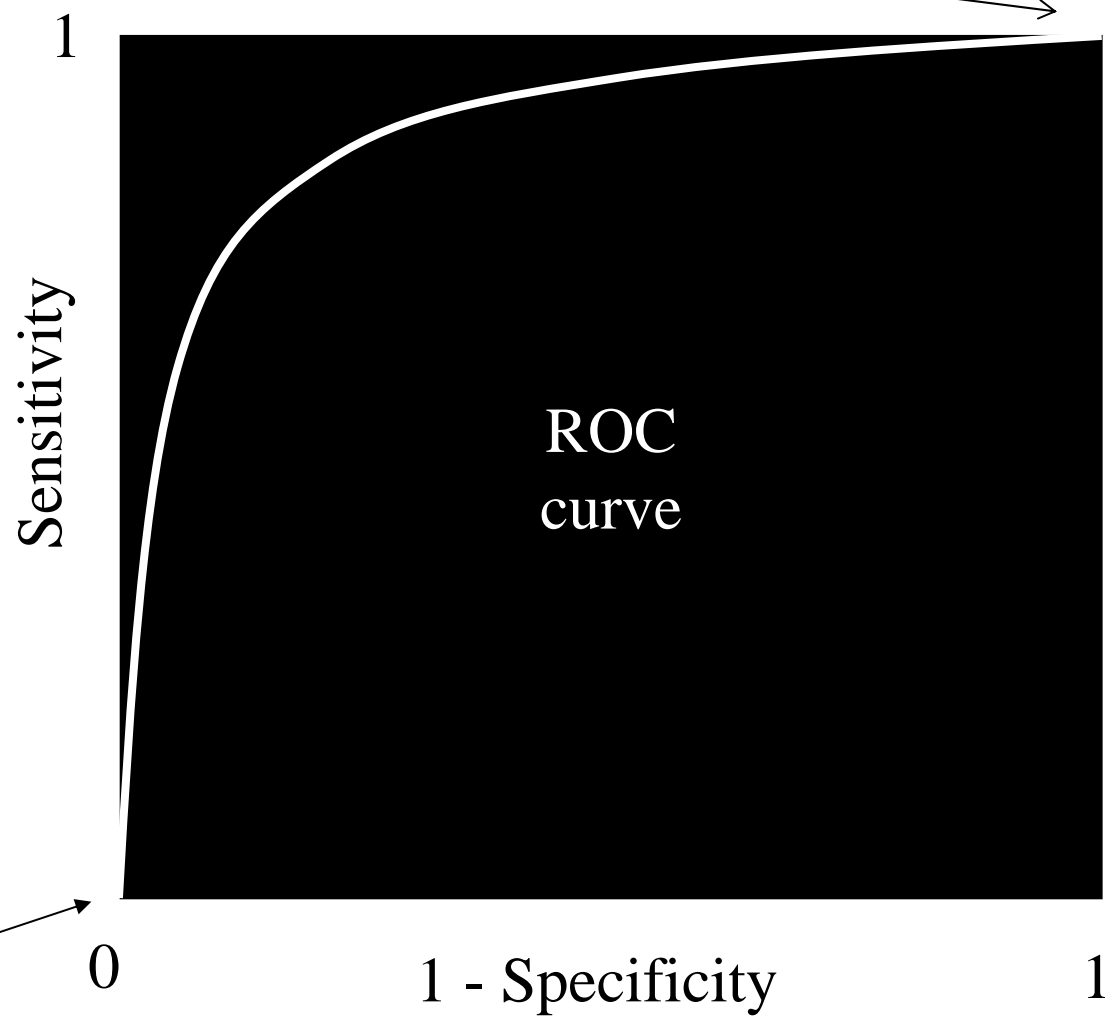
	nl	D	
"nl"	45	10	50
"D"	5	40	50
	50	50	

Threshold 0.7

	nl	D	
"nl"	50	20	70
"D"	0	30	30
	50	50	



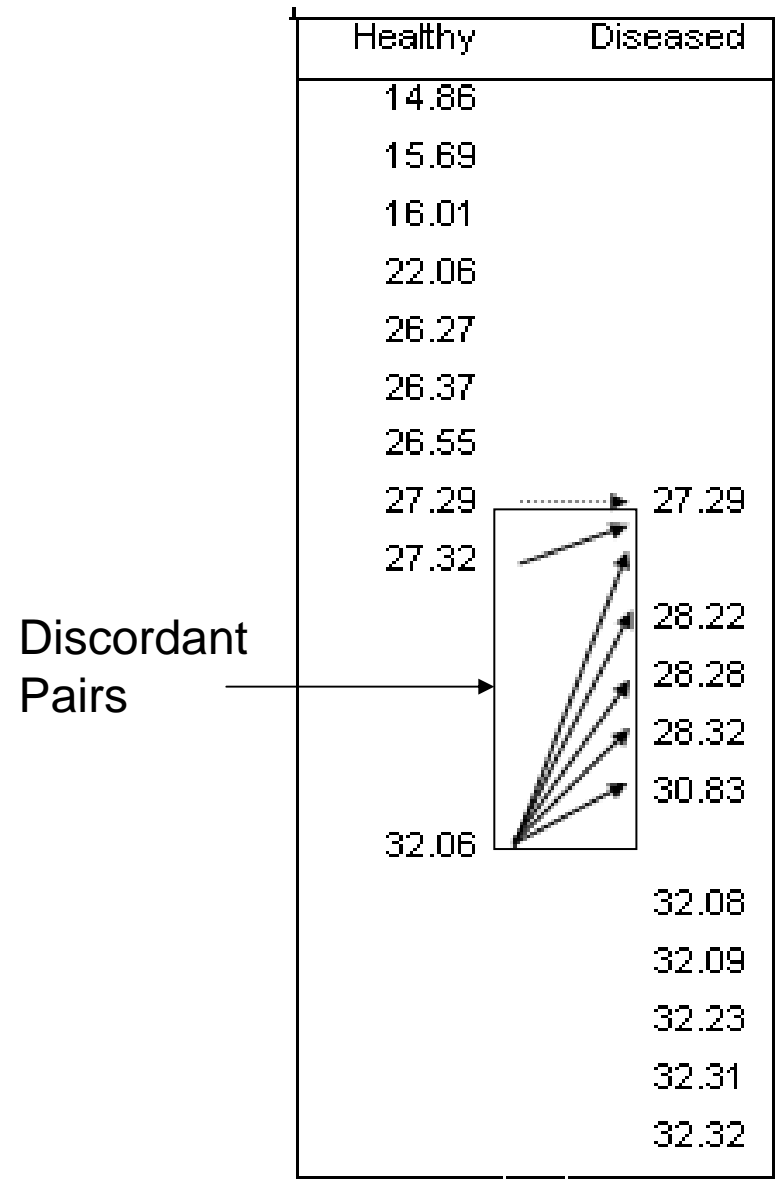
All Thresholds



# Areas Under the ROC curve

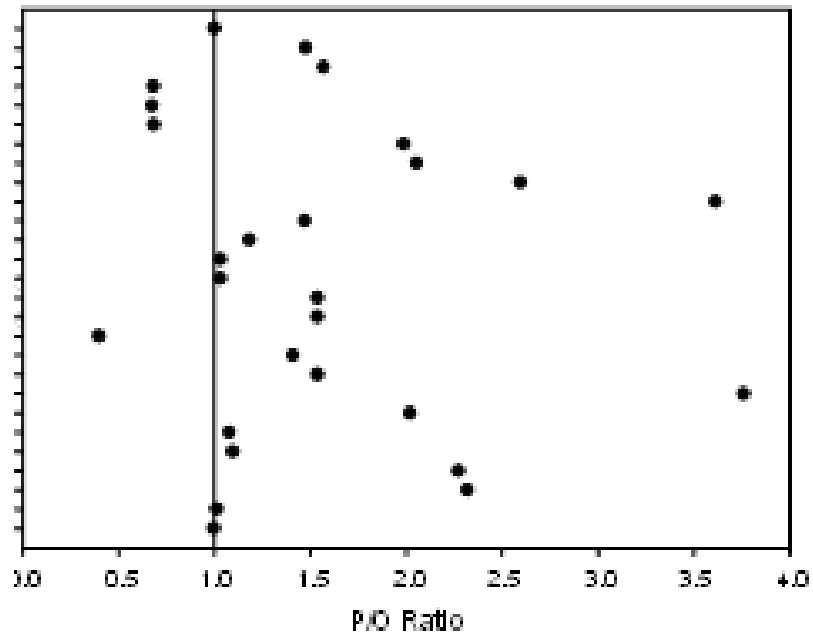
## concordance index

- measure adequacy of risk ranking  
(#concordant pairs +  $\frac{1}{2}$  #ties) / all pairs
- do not measure adequacy of risk estimates (collective nor individual)



# Predicted / Observed

---



Framingham models tested on  
European populations

# Calibration

- Measures how close the average estimate is to the observed proportion
- Goodness-of-fit
  - Hosmer-Lemeshow statistics

**D = 0**

Estim. $\pi$	Obs. $o$	Tercile Sums	
.1486	0		
.1569	0		
.1601	0		
.2206	0		
.2627	0		
.2637	0		
.2655	0	1.478	0
.2729	0		
.2729	1		
.2732	0		
.2822	1		
.2828	1		
.2832	1		
.3083	1	1.976	5
.3206	0		
.3208	1		
.3209	1		
.3223	1		
.3231	1		
.3232	1	1.931	5



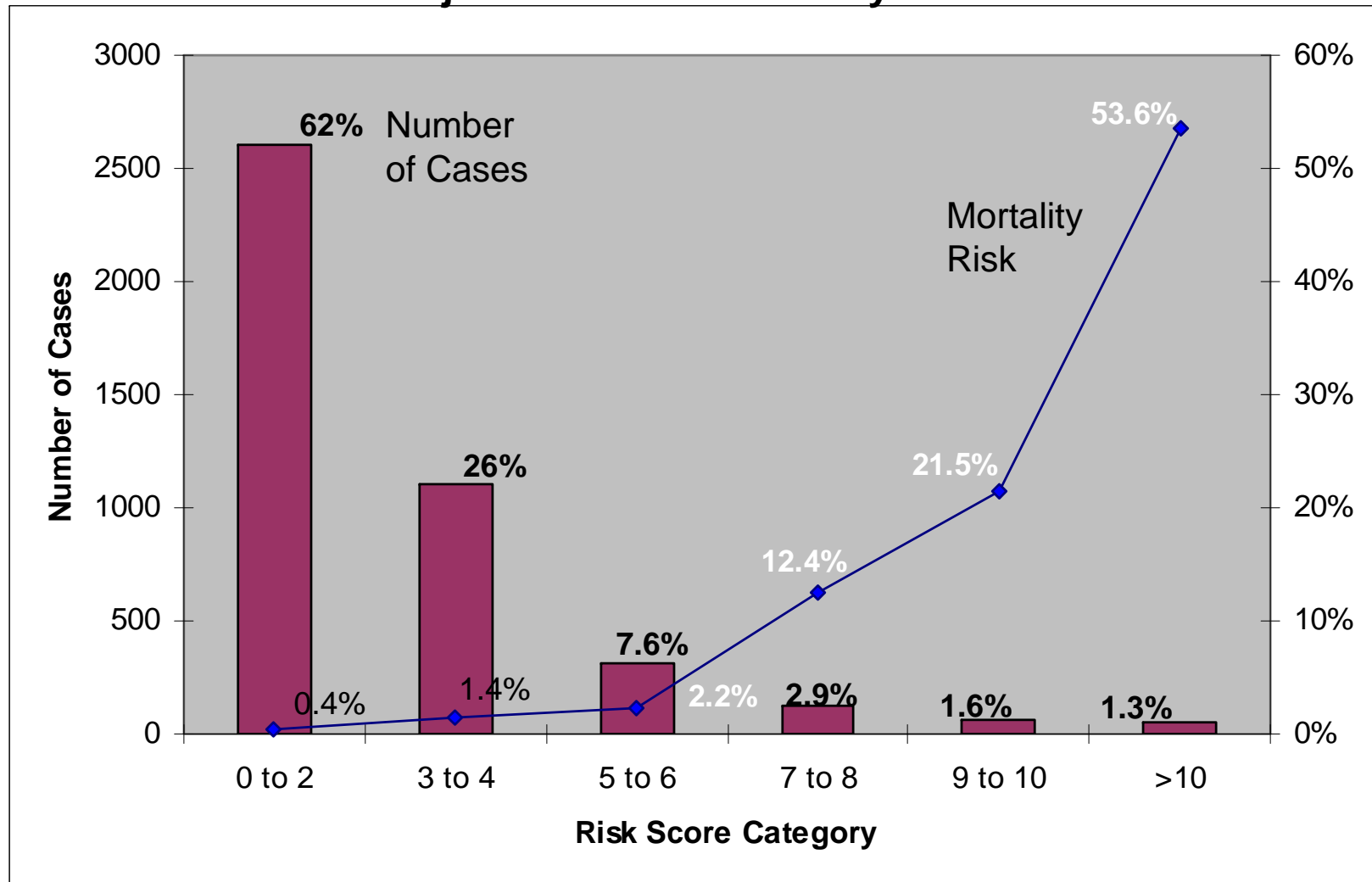
# Calibration

$$HL = \sum_{D=0}^1 \sum_{l=1}^3 \left[ \frac{\left( \pi_{Dl} - O_{Dl} \right)^2}{\pi_{Dl}} \right]$$

D = 0				D = 1			
Estim. $\pi$	Obs. $o$	Tercile Sums		Estim. $\pi$	Obs. $o$	Tercile Sums	
.1486	0	1.478	0	.8514	1	5.522	7
.1569	0			.8431	1		
.1601	0			.8399	1		
.2206	0			.7794	1		
.2627	0			.7373	1		
.2637	0			.7363	1		
.2655	0			.7345	1		
.2729	0			.7271	1		
.2729	1			.7271	0		
.2732	0			.7268	1		
.2822	1			.7178	0		
.2828	1			.7178	0		
.2832	1			.7172	0		
.3083	1	1.976	5	.7168	0	5.051	2
.3206	0		.6794	1			
.3208	1		.6792	0			
.3209	1		.6791	0			
.3223	1		.6777	0			
.3231	1		.6769	0			
.3232	1	1.931	5	.6768	0	4.069	1
HL C				15.54			
p				0.049			

# Risk Score of Death

Unadjusted Overall Mortality Rate = 2.1%



# Interventional Cardiology Models

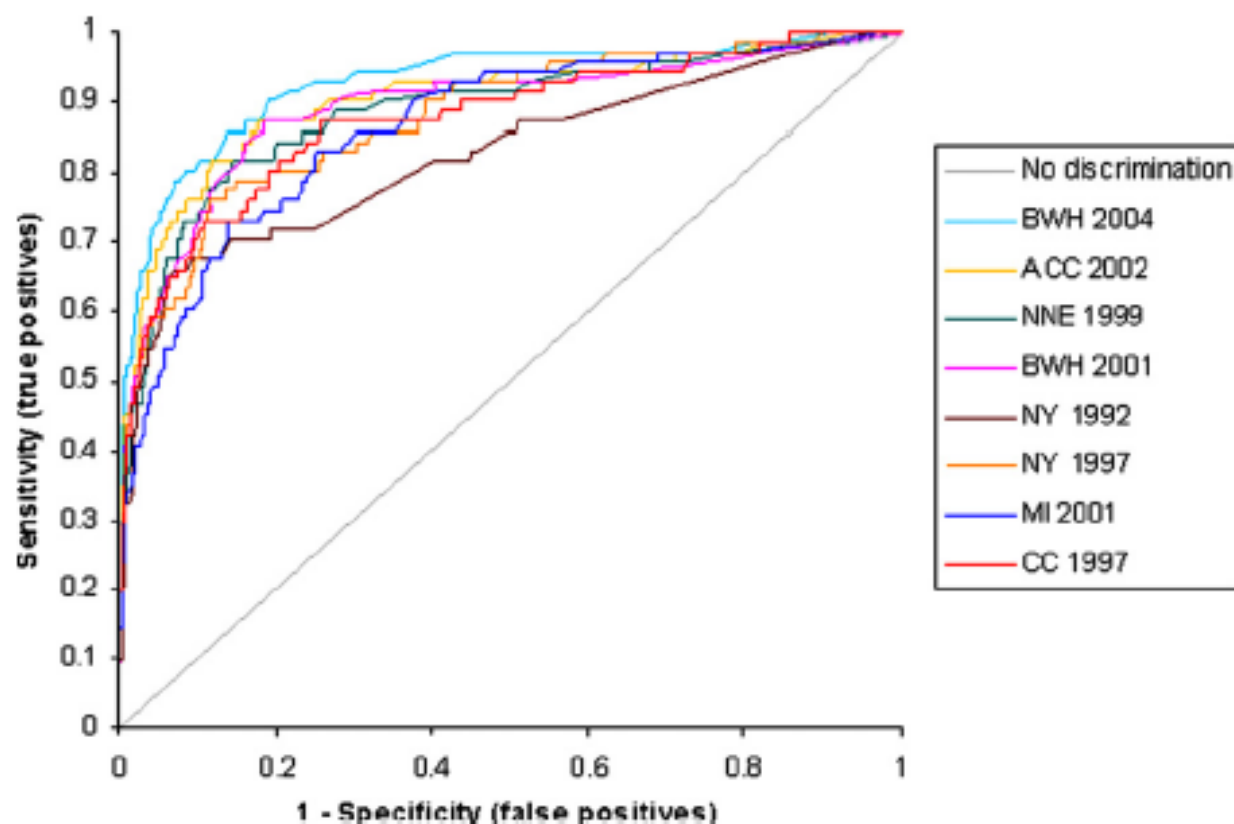
Summary of the training datasets for the models used in this study

Model	Dates		Location	Sample	AUC	HL( $p$ )	Validation type
NNE [22] 1999	1/1/1994	12/31/1996	NH, ME, MA, VT (7)	15331	0.88	0.09	Bootstrap resampling
NY [23] 1992	1/1/1991	6/30/1991	NY	5827	0.884	NA	Subset significance
NY [24] 1997	1/1/1991	12/31/1994	NY	62670	0.892	0.11	Subset significance
MI [25] 2001	10/1/1999	8/30/2000	Detroit, MI	10796	0.90	0.5	Training/test
ACC [26] 2002	1/1/1998	9/30/2000	National	100253	0.89	0.133	Training/test
BWH [28] 2001	1/1/1997	12/31/1999	Boston, MA	2804	0.86	0.11	Training/test
CC [27] 1997	1/1/1993	12/31/1994	Cleveland, OH (5)	12985	0.846	NA	Bootstrap resampling

Sample, sample size. AUC, area under the receiver operating characteristic. HL( $p$ ), Hosmer–Lemeshow  $p$  value.

## Validation

- 5278 patients from BWH (2001-2004) (external validation set)
- Comparisons use Areas under the ROC curve (AUC) and the Hosmer-Lemeshow goodness-of-fit statistic (deciles)



Summary of discrimination and calibration performance for each model

Curve	Deaths	AUC	95% CI	HL $\chi^2$	95% CI	HL( <i>p</i> )
NY 1992	96.7	0.82	0.76–0.88	31.1	13.9–50.0	<0.001
NY 1997	61.6	0.88	0.81–0.92	32.2	16.4–45.5	<0.001
CC 1997	78.8	0.88	0.82–0.93	27.8	19.6–38.7	<0.001
NNE 1999	56.2	0.89	0.84–0.94	45.9	31.9–67.4	<0.001
MI 2001	61.8	0.86	0.81–0.90	30.4	16.7–43.1	<0.001
BWH 2001	136.1	0.89	0.84–0.93	39.7	23.2–73.3	<0.001
ACC 2002	49.9	0.90	0.84–0.95	42.0	24.9–63.3	<0.001
BWH 2004	70.5	0.93	0.89–0.96	7.61	1.5–14.2	0.473

# Calibration

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Are predictions obtained from external models good for individual counseling?

Curve	HL $\chi^2$	95% CI	HL( <i>p</i> )	95% CI
NY 1992	31.1	13.9–50.0	<0.001	<0.001–0.003
NY 1997	32.2	16.4–45.5	<0.001	<0.001–0.004
CC 1997	27.8	19.6–38.7	<0.001	<0.001–0.013
NNE 1999	45.9	31.9–67.4	<0.001	<0.001–<0.001
MI 2001	30.4	16.7–43.1	<0.001	<0.001–0.011
BWH 2001	39.7	23.2–73.3	<0.001	<0.001–0.001
ACC 2002	42.0	24.9–63.3	<0.001	<0.001–0.002
BWH 2004	7.61	1.5–14.2	0.473	0.073–0.992

# APACHE II

- Mortality in intensive care units (ICUs)
- 12 physiologic predictors

**APACHE II Calculator**

Age	GCS
Temp	Eyes Open
Systolic BP	Verbal
Diastolic BP	Motor
Heart Rate	
Resp Rate	
pH	
Sodium	
Potassium	
Creatinine	ARF
HCT	
WBC	
FI02 %	
PO2	
PCO2	
Barometric Press 760	

☐ Chronic disease +/- emergency surgery  
☐ Chronic disease + elective surgery

**APACHE II**

**Mortality Risk**

Apache II - Windows Internet Explorer

http://www.sfar.org/scores2/apache22.html

apache risk calculator

Apache II

Temperature (°C)	Mean Arterial Pressure (mmHg)	Heart Rate
0	0	0
Respiratory Rate	If FIO2 >= 0,5 : (A-a) O2 (Help)	If FIO2 < 0,5 : PaO2
0	0	0
If no A.B.Gs : Serum HCO3 <sup>-</sup> (mmol/L)	Arterial pH	Serum Sodium (mmol/L)
0	0	0
Serum Potassium (mmol/L)	Serum Creatinine With Acute Renal Failure	Serum Creatinine Without Acute Renal Failure
0	0	0
Ht (%)	W.B.C (x10 <sup>3</sup> / mm <sup>3</sup> )	Glasgow Coma Score (Help)
0	0	0
Age	Apache II	Chronic Organ Insufficiency (Help) immuno-compromised
	0	

Done

Internet | Protected Mode: Off

100%

## Summary of all comparison studies in terms of discrimination (AUC)

Author	AP-II	MPM <sub>0</sub>	MPM <sub>24</sub>	SAPS	AP-III	SAPS-II	MPM-II <sub>0</sub>	MPM-II <sub>24</sub>
Castella (86)	0.867	0.865						
Rowan (87)	0.830	0.740						
Wilairatana (88)	0.723			0.710	0.694			
Del Bufalo (89)	0.808					0.735		
Castella (90) <sup>a</sup>	0.852	0.773	0.825	0.798	0.866			
Castella (90) <sup>b</sup>	0.857	0.778	0.815	0.799		0.855	0.815	0.833
Moreno (91)						0.822	0.785	
Nouira (92)	0.820					0.840	0.850	0.882
Tan (93)	0.880					0.870		
Patel (94)	0.702					0.672		0.695
Vassar (95)	0.870				0.890			
Katsaragakis (96)	0.839					0.870		
Livingston (97)	0.763				0.795	0.784	0.741	0.791
Capuzzo (98)	0.805					0.816		
Markgraf (99)	0.832				0.846	0.846		
Beck (100)	0.835				0.867	0.852		

\*a = Full sample, b = validation sample, AP = APACHE.



**Summary of HL-GOF H and C statistics.  $\chi^2$  values and degrees of freedom are listed where available,  $p$  values are listed otherwise.**

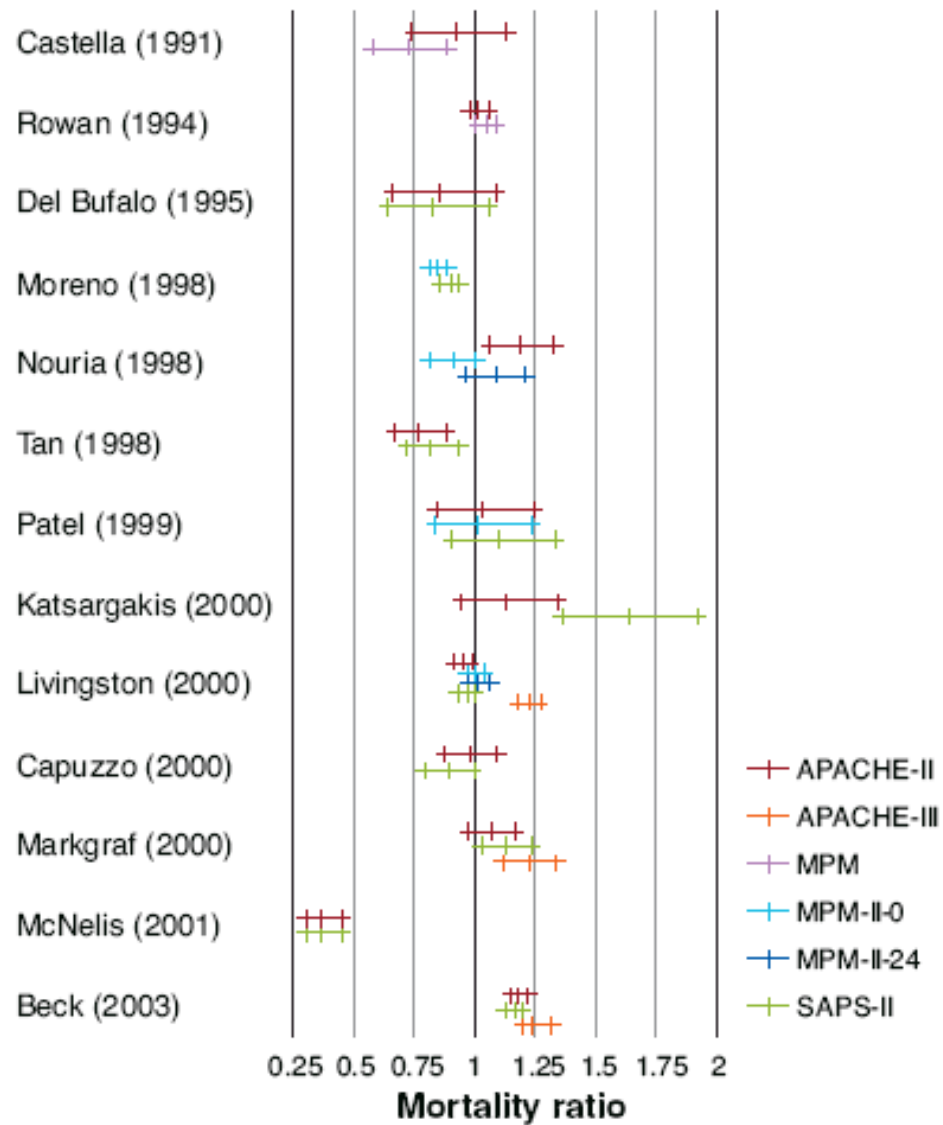
HL-H								
Author	AP-II	MPM <sub>0</sub>	MPM <sub>24</sub>	SAPS	AP-III	SAPS-II	MPM-II <sub>0</sub>	MPM-II <sub>24</sub>
Castella (86)	<b>9.42</b>	59.25						
Rowan (87)	80.66 (8df)						2514.86 (8df)	
Del Bufalo (89)	<b>7.73 (7df)</b>					14.84 (7df)		
Castella (90) <sup>a</sup>	$p < 0.001$	$p < 0.001$	$p < 0.001$					
Castella (90) <sup>b</sup>	$p = 0.005$	$p < 0.001$	$p < 0.001$			$p = 0.024$	$p = 0.072$	$p = 0.093$
Moreno (91)						218.2 (10df)	437.1 (10df)	
Nouira (92)	32.15 (10df)					76.89 (10df)	38 (10df)	19.9 (10df)
Patel (94)	<b>14.33</b>					22.58		20.7
Katsaragakis (96)	16.56 (8df)					77.54 (9df)		
Capuzzo (98)	<b>3.87 (10df)</b>					<b>7.62 (10df)</b>		
Markgraf (99)	<b>11.8 (8df)</b>				48.4 (8df)	20.5 (8df)		
HL-C								
Rowan (87)	57.25 (8df)						1737.41 (8df)	
Castella (90) <sup>a</sup>	$p < 0.001$	$p < 0.001$	$p < 0.001$					
Castella (90) <sup>b</sup>	$p = 0.025$	$p < 0.001$	$p < 0.001$			$p = 0.102$	$p = 0.015$	$p = 0.026$
Moreno (91)						208.4 (10df)	368.2 (10df)	
Nouira (92)	25.95 (10df)					73.78 (10df)	36.66 (10df)	29.59 (10df)
Tan (93)	43.96					49.06		
Katsaragakis (96)	18.14 (8df)					60.48 (9df)		
Livingston (97)	67.41					142.03	451.85	100.77
Capuzzo (98)	<b>5.05 (10df)</b>					<b>9.32 (10df)</b>		
Beck (100)	232.1				443.3	287.5		

<sup>a</sup>Full sample.

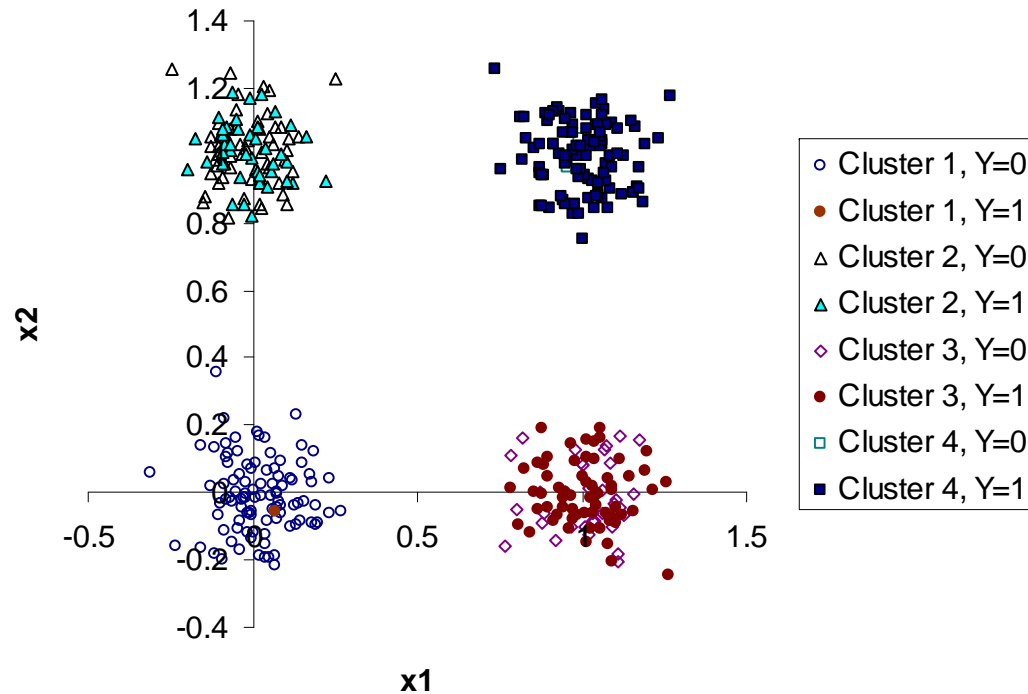
<sup>b</sup>Validation sample.

Bold entries indicate adequate calibration.

## Standardized mortality ratio in different study comparisons



3

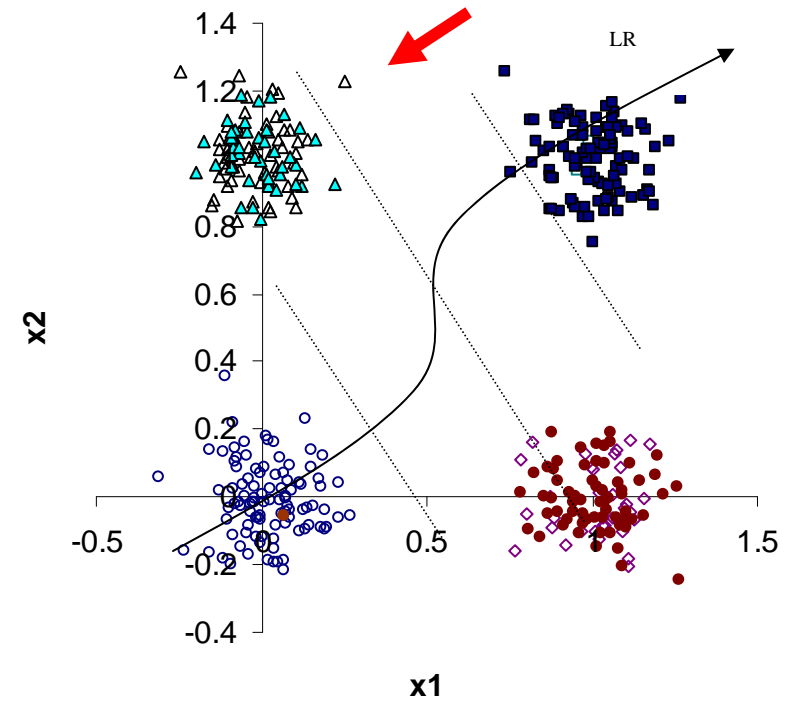
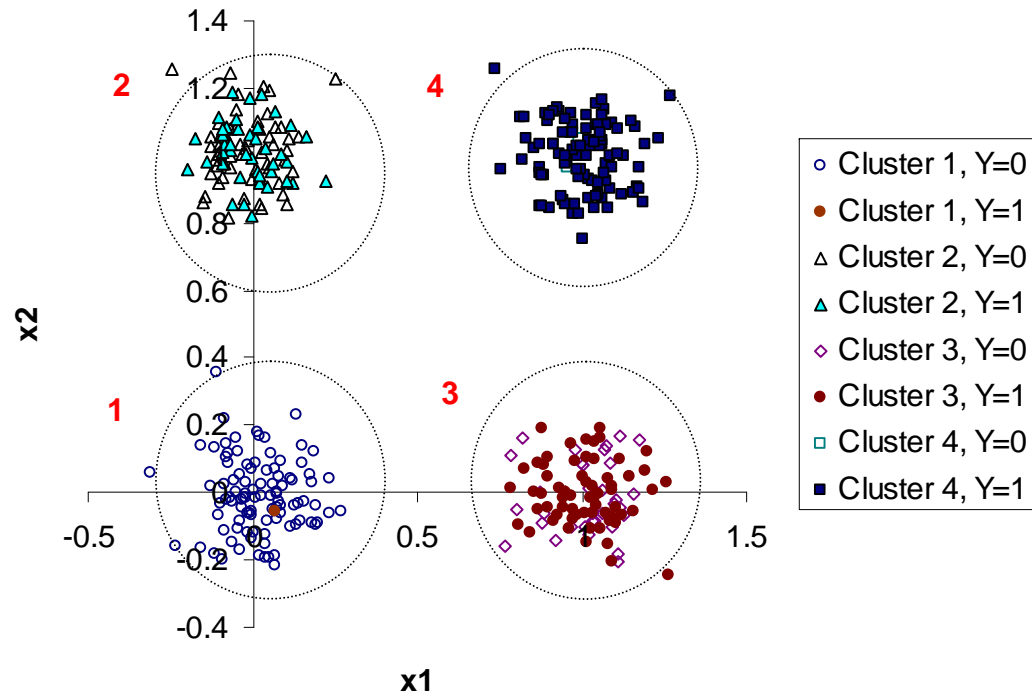


## Simulated data set

Clusters 1 to 4 centered on (0,0), (0,1), (1,0), and (1,1), with Gaussian noise

True probability for clusters 1 to 4: 0.01, 0.40, 0.60, and 0.99

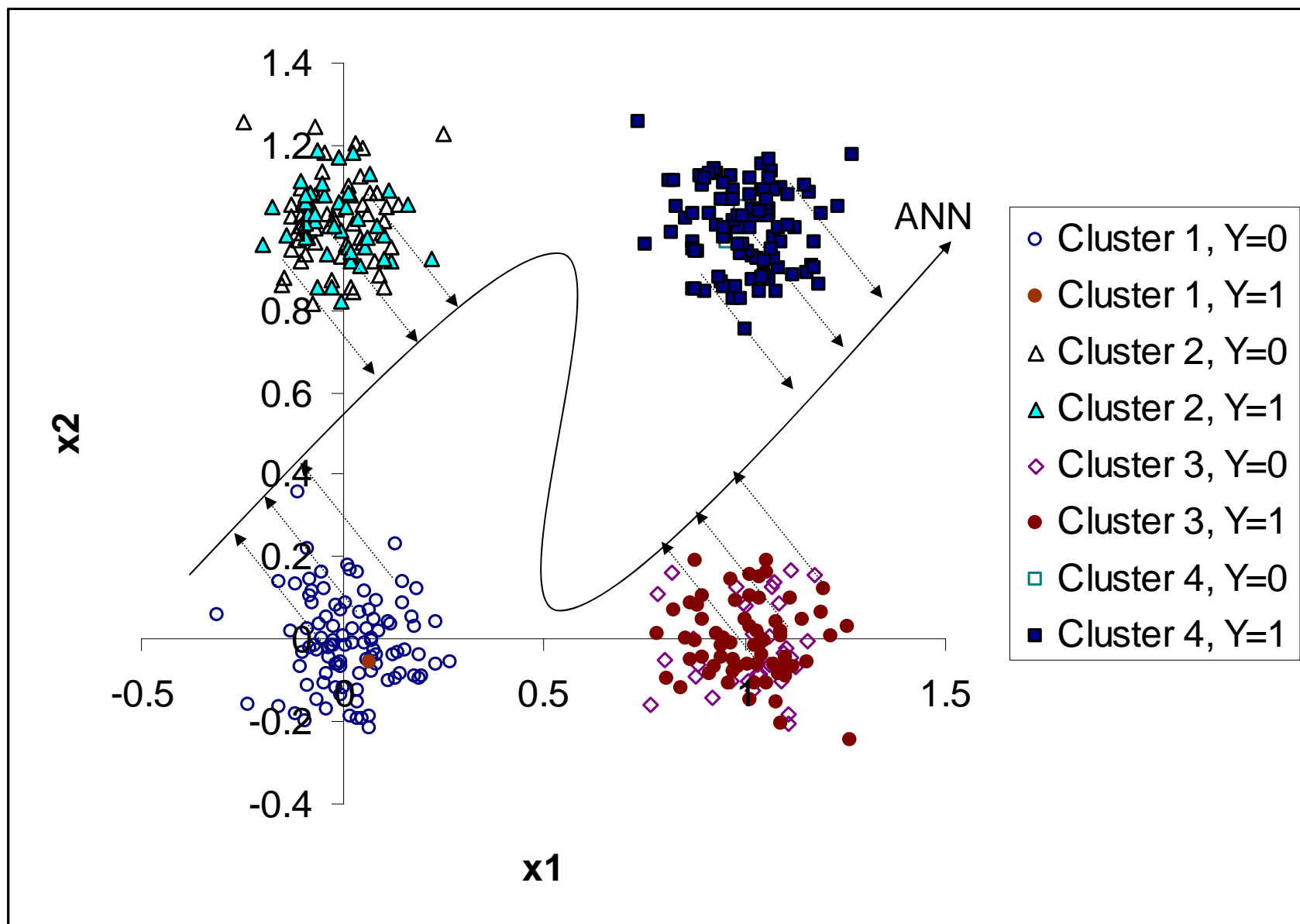
3

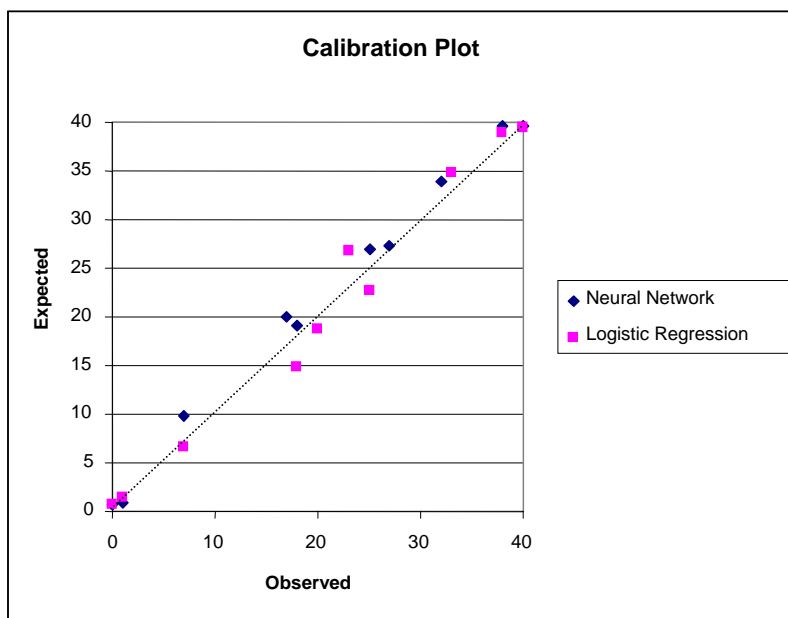


## Simulated data set

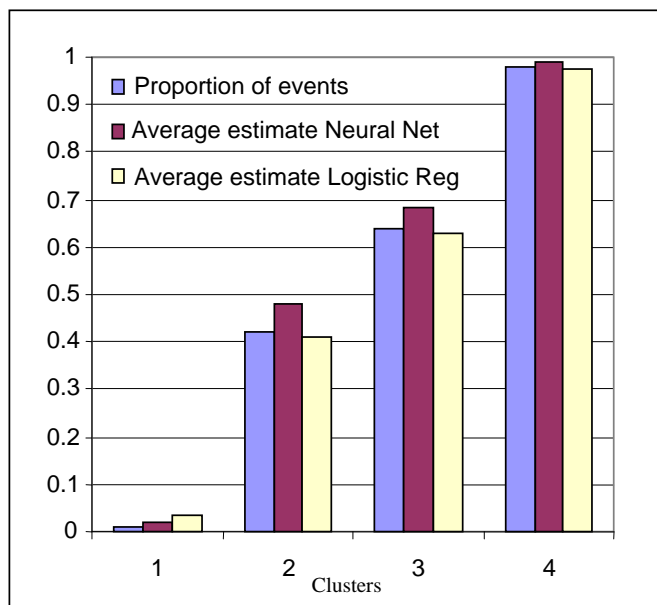
Clusters 1 to 4 centered on (0,0), (0,1), (1,0), and (1,1), with Gaussian noise

True probability for clusters 1 to 4: 0.01, 0.40, 0.60, and 0.99



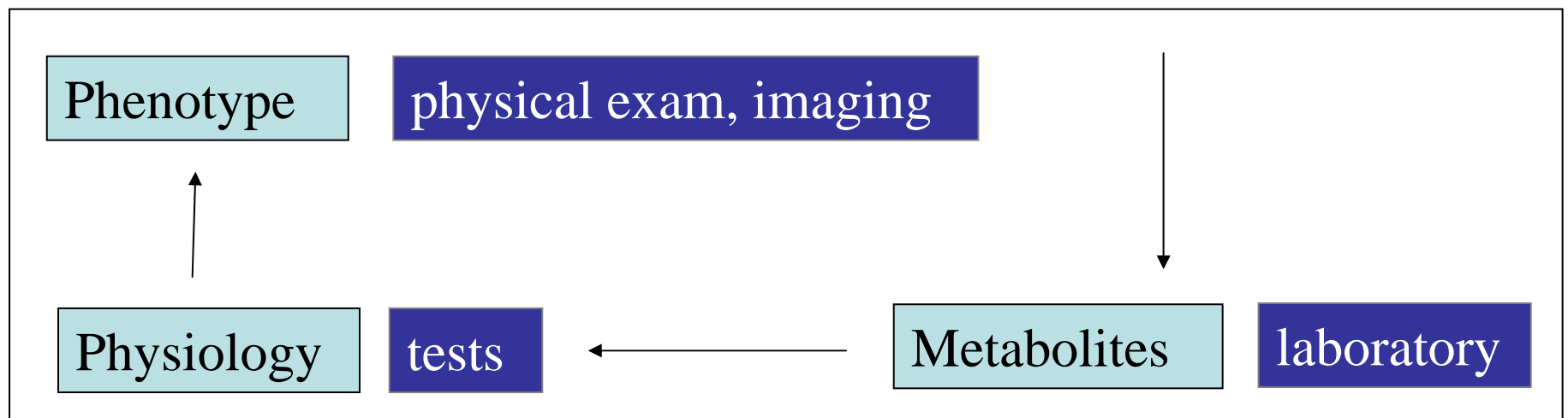
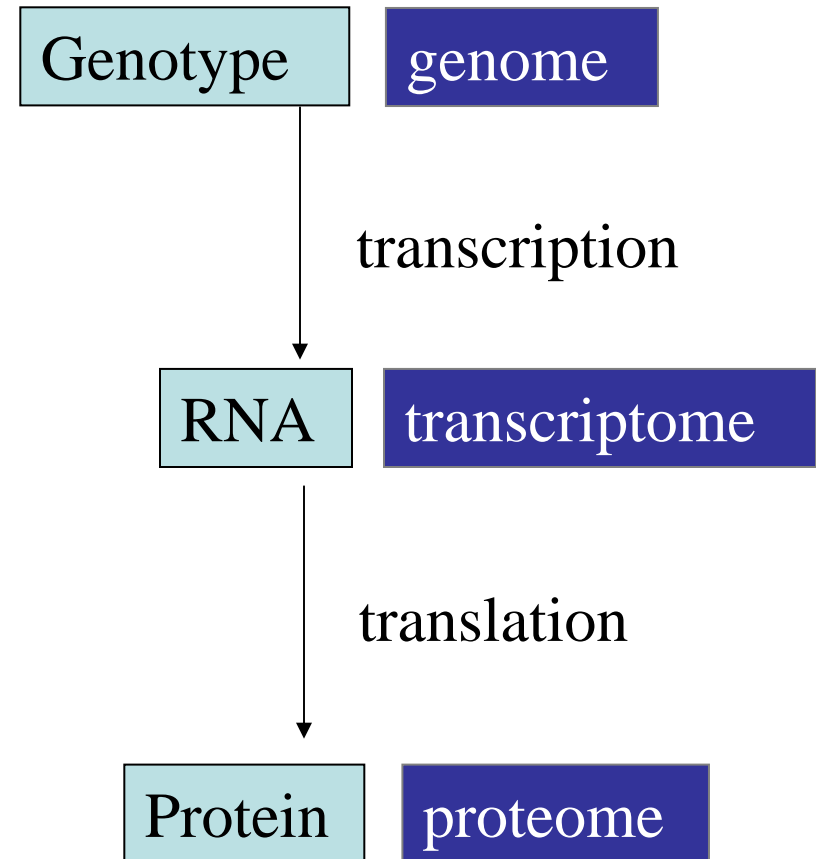


	LR	Neural Network
Sum of squared errors	52.363	50.894
Mean squared error	0.130	0.127
Cross-entropy error	154.543	150.838
Mean cross-entropy error	0.386	0.377
Sum of residuals	103.226	100.412
Mean residual	0.2580	0.251
AUC	0.889	0.895
HL-C	6.437	11.773
p	0.598	0.161



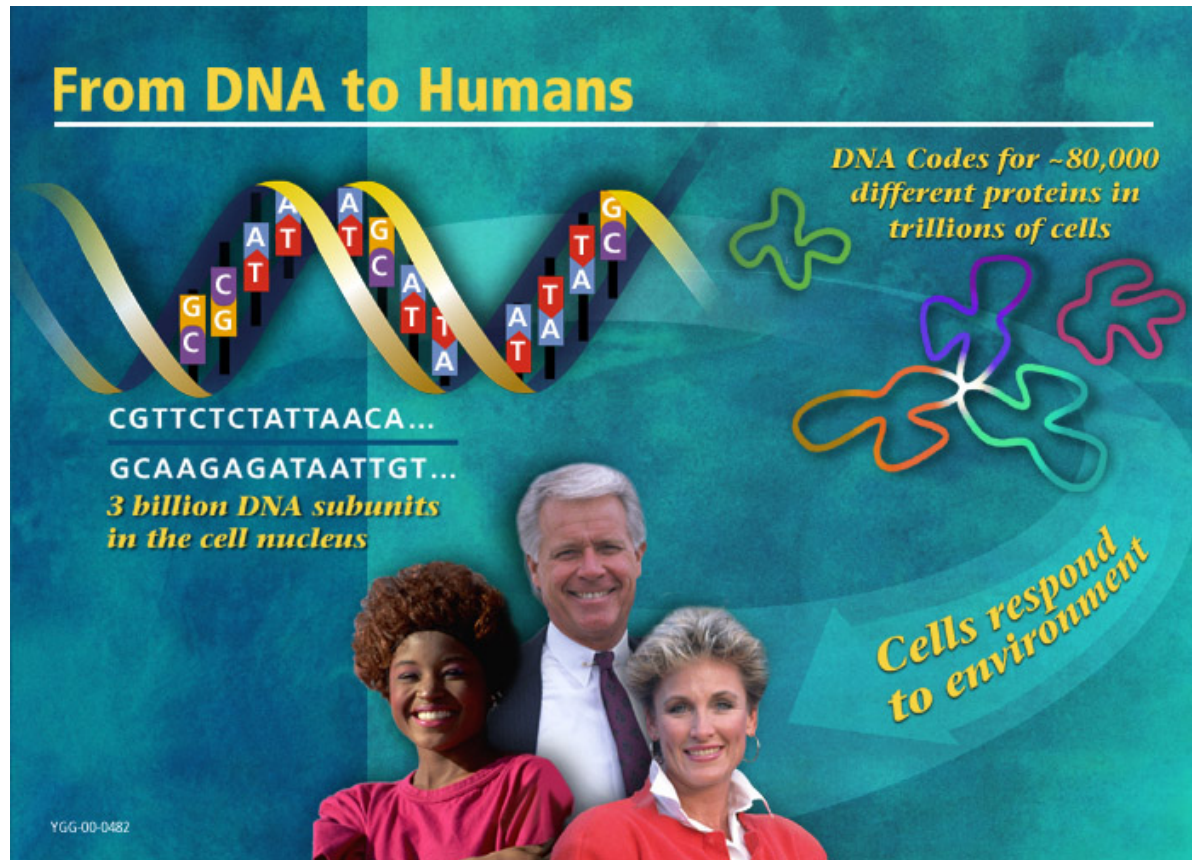
	LR	Neural Network
Cluster 2 min (GS: 0.4)	.20	.43
Cluster 2 max	.80	.58
Cluster 3 min (GS: 0.6)	.29	.65
Cluster 3 max	.85	.73

Source: DOE





Will we ever achieve “individualized” risk assessment?  
If so, how can we evaluate it?



## Acknowledgments

- NIH, Komen Foundation
- Fred Resnic, Michael Matheny